

List of Correspondent Brokers¹

Andes Capital Group
B. Riley & Co.
Barclays (US Algo and DMA only)
BIDS Trading
Bley Investment Group
BofA Securities (US)
Cabrera Capital Markets
CAPIS
CAPIS Step Out
CF Global Trading
Cowen Securities (U.S.)
Drexel Hamilton
HSBC (U.S. and Asia/Pacific)
ICAP (US)
Imperial Capital
Instinet LLC
ITG, Inc.
LAM Securities
Liquidnet
Mischler Financial Group
North South Capital
O'Neil Securities
Penserra Securities
Pershing, LLC
Piper Sandler
State Street Global Markets (Europe)
Virtu Americas LLC

¹ Provided by the Third-Party DB Administrator – CAPIS as of 12/31/2023.