

## **List of Correspondent Brokers<sup>1</sup>**

Andes Capital Group  
B. Riley & Co.  
Barclays (US Algo/DMA) BIDS Trading  
Bley Investment Group Cabrera Capital Markets CAPIS  
CAPIS Step Out  
CF Global Trading Cowen Securities (U.S.) Drexel Hamilton  
HSBC James Capel ICAP (U.S.)  
Imperial Capital  
Instinet LLC  
ITG, Inc.  
LAM Securities  
Liquidnet  
Merrill Lynch (U.S.)  
Mischler Financial Group  
North South Capital  
O'Neil Securities  
Penserra Securities, LLC  
Pershing, LLC  
Piper Sandler  
Societe Generale  
State Street Global Markets (Europe)  
Virtu America LLC

---

<sup>1</sup> Provided by the Third-Party DB Administrator – CAPIS as of 9/30/2022.