

## List of Correspondent Brokers<sup>1</sup>

B. Riley & Co.  
Barclays (US Algo and DMA only)  
BIDS Trading  
Bley Investment Group  
Cabrera Capital Markets  
Capital Institutional Services, Inc.  
CF Global Trading  
Commission Direct, Inc.  
Cowen Securities (U.S.)  
Heflin & Co., LLC  
HSBC (U.S. and Asia/Pacific)  
ICAP (U.S.)  
Imperial Capital  
Instinet, LLC  
ITG, Inc.  
Knight Securities  
Kota Global Securities  
LAM Securities  
Liquidnet  
O'Neil SecuritiesMerrill Lynch (U.S.)  
Northeast Securities  
Pershing, LLC  
Piper Jaffray & Co.  
Societe Generale  
State Street Global Markets (Europe)  
Weeden & Co LP

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<sup>1</sup> Provided by the Third-Party DB Administrator – CAPIS as of 02/25/2015.