



ALAMEDA COUNTY EMPLOYEES' RETIREMENT ASSOCIATION  
*BOARD OF RETIREMENT*  
MINUTES

---

Thursday, September 21, 2017

Chair Dale Amaral called the meeting to order at 2:00 p.m.

Trustees Present: Dale Amaral  
Ophelia Basgal  
Annette Cain-Darnes  
Keith Carson  
Tarrell Gamble  
Liz Koppenhaver  
Henry Levy  
Elizabeth Rogers  
George Wood  
Darryl Walker (*Alternate*)

Trustees Excused: Nancy Reilly (*Alternate*)

Staff Present: Margo Allen, Fiscal Services Officer  
Victoria Arruda, Human Resource Officer  
Angela Bradford, Executive Secretary  
Harsh Jadhav, Chief of Internal Audit  
Vijay Jagar, Retirement Chief Technology Officer, ACERA  
Kathy Mount, Chief Counsel  
David Nelsen, Chief Executive Officer  
Betty Tse, Chief Investment Officer

Staff Excused: Sandra Dueñas-Cuevas, Benefits Manager  
Kathy Foster, Assistant Chief Executive Officer

17-92

The minutes of the regular meeting of August 17, 2017, were accepted on a motion, by Annette Cain-Darnes, seconded by George Wood, and approved by a vote of 7 yes, with the correction of Annette Cain-Darnes' title from "Board Chair" to "Committee Chair" in the August 17, 2017 Budget Committee Minutes listed under the September 21, 2017 Consent Calendar (*Amaral, Basgal, Cain-Darnes, Gamble, Koppenhaver, Rogers, Wood*), 0 no, and 0 abstentions.

**CONSENT CALENDAR  
REPORTS AND ACTION ITEMS**

**APPLICATION FOR SERVICE RETIREMENT**

*Appendix A*

**APPLICATION FOR RETIREMENT, DEFERRED**

*Appendix B  
Appendix B-1*

**APPLICATION FOR DEFERRED TRANSFER**

*Appendix C*

**LIST OF DECEASED MEMBERS**

*Appendix D*

**REQUESTS FOR 130 BI-WEEKLY PAYMENTS TO RE-DEPOSIT  
CONTRIBUTIONS AND GAIN CREDIT**

*Appendix E*

**APPLICATION FOR DISABILITY RETIREMENT – NON-CONTESTED ITEMS:**

*Appendix F*

**APPLICATION FOR DISABILITY RETIREMENT – HEARING OFFICER  
RECOMMENDATIONS**

*Appendix G*

**APPROVAL COMMITTEE MINUTES**

*August 17, 2017 Budget Committee Minutes*

**MISCELLANEOUS MATTERS:**

*None*

It was moved by George Wood, seconded by Liz Koppenhaver, and approved by a vote of 7 yes (*Basgal, Cain-Darnes, Carson, Gamble, Koppenhaver, Rogers, Wood*), 0 no, 0 abstentions, that the following resolution is adopted (*Dale Amaral recused because one of the consent calendar items involved his employing Department*):

**17-93**

**BE IT RESOLVED BY THIS BOARD that the Consent Calendar is approved as presented.**

**REGULAR CALENDAR – REPORTS AND ACTION ITEMS**

**DISABILITIES, CURRENT AND CONTINUING RECOMMENDATIONS AND MOTIONS**

None.

**COMMITTEE REPORTS, RECOMMENDATIONS AND MOTIONS**

*Note: Per Committee Chairs, copies of the Committee meeting minutes were available for attendees. All **August/September** Committee meeting minutes are posted on the ACERA website ([www.acera.org](http://www.acera.org)) after approval at the **September 21, 2017**, Board meeting. This month's Committee reports were presented in the following order:*

***Operations:***

Elizabeth Rogers reported that the Operations Committee met on September 6, 2017, at 9:33 a.m. and highlighted the following:

Staff presented the year-to-date Budget vs. Actual Operating Expense Report. As of July 31, 2017, actual expenses were \$1,774,272 under budget. Budget surpluses noted were Staffing (\$855K under budget) with 9 unfilled positions, Staff Development (\$190K under budget), Professional Fees (\$193K under budget), Member Services (\$95K under budget), and Board Expenses (\$109K under budget).

Staff reported on the Statement of Reserves and the interest posted to member and employer accounts for the six months ending June 30, 2017. For the six-month period ending June 30, 2017, approximately \$277.8 million of regular interest was credited to all the valuation reserve accounts, the 401(h) account and the SRBR at rate of 3.80%.

Staff introduced representatives from Managed Medical Review Organization, Inc., who, in turn, provided an overview of their organization. They also provided an overview of the disability claims process, the status of ACERA's disability claims, and Medical Advisor services transition.

The Board will receive monthly reports on the disability claims to ensure timely processing.

Staff discussed the Voluntary Elected Member Employer Reimbursement policy as to whether there will be a change to the policy. Trustees Amaral, Rogers, and Walker recused themselves prior to discussion. Trustee Basgal suggested a cap on the Voluntary Elected Employer Reimbursement. The Committee requested staff to research the matter and provide options for a cap on the reimbursement.

Trustee Basgal suggested looking at the Board's committee meeting schedule to see if there can be changes.

**17-94**

**It was moved by Elizabeth Rogers and seconded by Liz Koppenhaver that the Board approve the September 6, 2017, Operations Committee minutes. The motion carried 8 yes (*Amaral, Basgal, Cain-Darnes, Carson, Gamble, Koppenhaver, Rogers, Wood*), 0 no, and 0 abstentions.**

***Retirees:***

Liz Koppenhaver reported that the Retirees Committee met on September 6, 2017, at 11:05 a.m. and highlighted the following:

Keenan & Associates, ACERA's Benefits Consultant, provided information on the Better Care Reconciliation Act, Obamacare Repeal Reconciliation Act, Health Care Freedom Act, future legislative efforts, and impact to employers.

Staff provided information regarding renewal rates and plan coverage for the Plan Year February 1, 2018 through January 31, 2019. In addition, the County of Alameda presented more detailed information on the renewal of the County medical plan contracts for the 2018 Plan Year, stating that it had accepted a two-year contract with Kaiser Permanente with a .90% increase for 2018 and a fixed rate increase of 5% for 2019. The Kaiser Permanente Senior Advantage plan premium will increase by 3.52% for 2018. The UnitedHealthcare HMO premium will increase by 6.63%, and the PPO plan premium will increase by 9.81%. The County is pursuing another indemnity/PPO plan option with UnitedHealthcare for the 2019 Plan Year.

Staff presented a history of the Supplemental Retiree Benefit Reserve (SRBR) activity for the 10-year period ending June 30, 2017. The ending balance of the SRBR as of June 30, 2017 was approximately \$881.3 million. The semi-annual interest crediting as of June 30, 2017 based on the rate of 3.80% was completed on August 23, 2017; approximately \$33.2 million in interest was credited. During that same six-month period, approximately \$26.9 million was deducted from the SRBR.

Staff provided a report on planning for ACERA's annual Open Enrollment and Retiree Health Fair, including a timeline of when Open Enrollment materials will be mailed.

Staff reported that the Centers for Medicare & Medicaid Services (CMS) plans to remove social security numbers from Medicare cards to help combat identity theft. The new cards will use a unique randomly-assigned identification number. CMS will begin mailing new cards in April, 2018.

A public comment was made requesting that consideration be given to allow retirees to have their life insurance premiums deducted from their retirement allowances. Peter Albert, Alameda County Retired Employees (ACRE) Board President, suggested that this topic be discussed first at the ACRE and the Retired Employees of Alameda County, Inc. (REAC) board meetings.

**17-95**

**It was moved by Liz Koppenhaver and seconded by Tarrell Gamble that the Board approve the September 6, 2017, Retirees Committee minutes. The motion carried 8 yes (*Amaral, Basgal, Cain-Darnes, Carson, Gamble, Koppenhaver, Rogers, Wood*), 0 no, and 0 abstentions.**

***Investment:***

Annette Cain-Darnes reported that the Investment Committee met on September 13, 2017, at 9:33 a.m. and summarized certain aspects of the minutes. The complete Investment Committee minutes read as follows:

The Committee discussed this proposed PE Investment Policy, which reflects the recommendations of the Committee from the August ICM.

The Committee further recommended a couple of minor changes in language to provide clarity, as follows: (1) from “may be difficult to measure” to “may be difficult to predict” in Section V, and (2) from “While specific investments may incur losses” to “As with any investments, invested capital may incur losses,” in Section VI.

The Committee discussed increasing the delegation of authority to Staff, as the percentage (up to 10%) and dollar limits (up to \$50 million), whichever is lesser, for commitments to existing managers. The CIO assured the trustees that Staff would continue to present recommendations to the ICM timely, if possible.

**17-96**

**It was moved by Annette Cain-Darnes and seconded by Tarrell Gamble that the Board adopt a new and separate Private Equity Investment Policy with the recommended language changes. The motion carried 8 yes (*Amaral, Basgal, Cain-Darnes, Carson, Gamble, Koppenhaver, Rogers, Wood*), 0 no, and 0 abstentions.**

A Representative from Verus Inc. briefly discussed ACERA’s 2017-2019 PE Investment Plan. The plan proposes to reduce the current Venture Capital tilt to neutral sub – asset class targets by 2022. The PE Investment Plan calls for over \$400 million in additional commitments in all three sub-asset classes.

**17-97**

**It was moved by Annette Cain-Darnes and seconded by Tarrell Gamble that the Board adopt the 2017 – 2019 Investment Plan for ACERA’s Private Equity Asset Class. The motion carried 8 yes (*Amaral, Basgal, Cain-Darnes, Carson, Gamble, Koppenhaver, Rogers, Wood*), 0 no, and 0 abstentions.**

The Committee discussed this proposed AR Policy, which reflects the recommendations of the Committee from the August ICM.

The Committee further recommended a minor change in language to provide clarity, as follows, from “While specific investments may incur losses” to “As with any investments, invested capital may incur losses,” in Section VI.

The Committee discussed increasing the delegation of authority to Staff, as the percentage (up to 10%) and dollar limits (up to \$50 million), whichever is lesser, for commitments to existing managers. The CIO assured the trustees that Staff would continue to present recommendations to the ICM timely, if possible.

**17-98**

**It was moved by Annette Cain-Darnes and seconded by Tarrell Gamble that the Board adopt a new and separate Absolute Return Policy with the recommended language change. The motion carried 8 yes (*Amaral, Basgal, Cain-Darnes, Carson, Gamble, Koppenhaver, Rogers, Wood*), 0 no, and 0 abstentions.**

A Representative from Verus, Inc. briefly discussed ACERA's 2017-2019 Absolute Return (AR) Investment Plan and proposed to invest/commit about \$500 million in additional investments in all sub-asset classes. The goal is to reduce the equity overweight in ACERA's portfolio and reallocate to the AR category by calendar year-end 2017.

**17-99**

**It was moved by Annette Cain-Darnes and seconded by Tarrell Gamble that the Board adopt the 2017 – 2019 Investment Plan for ACERA's Absolute Return Asset Class. The motion carried 8 yes (*Amaral, Basgal, Cain-Darnes, Carson, Gamble, Koppenhaver, Rogers, Wood*), 0 no, and 0 abstentions.**

A representative from Quantum Energy presented to the Committee the history, background, and culture of the firm, as well as the opportunity set, investment team, and strategy of the Quantum Energy Partners VII (QEP VII) and Quantum Energy Partners VII Co-Investment Fund (QEP-VII CI) (collectively, "Funds").

The representative discussed the Funds' strategy that was to generate the best risk-adjusted returns across the energy value chain and how that strategy relates to ACERA's Real Assets Portfolio. They also discussed the firm's long history of investing in North American energy and how the current distressed environment in energy is creating opportunities. The representative also discussed the firm's investment process and performance in detail.

At today's Board meeting, Trustee Rogers expressed her concerns regarding directly investing in oil investments. Trustee Rogers stated her belief that direct oil investing is more than a social or political issue, that it is a 'science' issue in light of climate change. Other Trustees expressed their comments for and concerns against adding Quantum Energy Partners VII/Quantum Energy Partners-VII CI to ACERA's portfolio.

Chief Investment Officer Betty Tse reported that the target allocation to this asset class (Real Assets) is 5% (of the total Fund), and that this asset class is the "weakest link" in ACERA's portfolio due to various challenges, including returns. Ms. Tse stated that Verus, ACERA's General Investment Consultant, is being pro-active by providing ACERA with more investment ideas in Real Assets, such as this particular investment.

**17-100**

**It was moved by Annette Cain-Darnes and seconded by George Wood that the Board adopt an investment of up to \$35.0 million in the Quantum Energy Partners VII and Quantum Energy Partners VII Co-Investment Fund LP, pending completion of legal due diligence, background investigations, and successful contract negotiation. The motion carried 4 yes (*Amaral, Cain-Darnes, Koppenhaver, Wood*), 3 no (*Basgal, Gamble, Rogers*), and 1 abstention (*Carson*).**

Representatives from Peak Rock Capital presented to the Committee the history, background, and culture of the firm, as well as, the opportunity set, investment team, and strategy of the Peak Rock Capital Fund II and Peak Rock Capital Credit Fund II (collectively, “Peak Rock” or “Funds”).

Peak Rock invests in small-to-midsize portfolio companies where it can drive rapid growth. Peak Rock focuses on strong market positions that are temporarily underperforming due to non-recurring and addressable issues. The representatives emphasized their deep experience in efficient operational improvements and turnarounds.

**17-101**

**It was moved by Annette Cain-Darnes and seconded by Tarrell Gamble that the Board adopt an investment of up to a total of \$43.75 million in the two Peak Rock Capital funds (\$35.0 million into Peak Rock Capital Fund II, and \$8.75 million and Peak Rock Capital Credit Fund II), pending completion of legal due diligence, background investigations, and successful contract negotiation. The motion carried 7 yes (*Amaral, Basgal, Cain-Darnes, Gamble, Koppenhaver, Rogers, Wood*), 0 no, and 1 abstention (*Carson*).**

Representatives from Lighthouse Investment Partners provided updates on its organization, the Strategic Alpha Fund’s investment strategy, philosophy, risk management, staff turnover, and ACERA's investment results. The Fund continues to exhibit a low equity beta (0.05) versus the Russell 3000 Index and a low volatility as measured by a standard deviation of 1.23% (annualized). Lighthouse fee information will be provided when the Committee discusses funds of funds, scheduled for October per the ICM Workplan.

As of June 30, 2017, the Fund’s AUM was at \$108.5 million and it returned an annualized 5.13% (net of all fees) since inception, November 2015.

For 2Q2017, ACERA’s Total Fund returned 3.8% gross (3.8% net of fees), compared to the Policy Index return of 3.5%. For the year ending 6/30/2017, the total fund returned 16.3% gross (16.0% net of fees), compared to the policy index of 15.2%. The Total Fund’s results ranked in the top 8<sup>th</sup> and 2<sup>nd</sup> percentile for the quarter and one year periods, respectfully.

As of 06/30/2017, the market value of the Total Fund was \$7,558,634,864.

At today’s Board meeting, Ms. Tse reported that as of September 20, 2017, the size of ACERA’s preliminary Total Fund was 7.82 billion and in the top 2<sup>nd</sup> percentile.

ACERA's real estate portfolio, including the Oakland Building, returned 3.36% gross (3.09% net) for 2Q2017 and 9.33% gross (8.27% net) for the 1-year period ending 06/30/2017 versus the NCREIF-ODCE benchmark of 1.70% (1.47% net) and 7.87% (6.90% net), respectively.

As of 6/30/2017, the market value of the ACERA's real estate portfolio was \$518.6 million.

Chief Investment Officer Betty Tse provided an update on the Emerging Markets Manager Search. On July 7, 2017, ACERA received 73 proposals to the RFP and reduced the number of proposals to 29 by comparing all responses to the MQs and ranking the vendors by screens in compliance with ACERA's General Investment Guidelines, Policies and Procedures.

### **17-102**

**It was moved by Annette Cain-Darnes and seconded by Elizabeth Rogers that the Board approve the September 13, 2017, Investment Committee minutes. The motion carried 7 yes (*Amaral, Basgal, Cain-Darnes, Gamble, Koppenhaver, Rogers, Wood*), 0 no, and 1 abstention (*Carson*).**

#### ***Actuarial:***

Ophelia Basgal reported that the Actuarial Committee met on September 13, 2017, at 1:27 p.m. and highlighted the following:

ACERA staff presented its recommendation on the Alameda Health System's request for an actuarial methodology change and the associated study to be conducted by Segal Consulting. Staff explained the decision criteria required to determine whether there is a need to proceed with an actuarial study. This included a determination of whether there is an appetite for changing the current methodology, regardless of the study outcome; whether the outstanding legal issue of pre-separation liability would prevent a clear picture of liability from being resolved even if a study were conducted; and, whether the complexity, length, and impact of performing the study is something the Board wishes to undertake at this time.

AHS representatives supported their position that the methodology should be changed to make AHS' proportionate share of the Unfunded Accrued Actuarial Liability (UAAL) equitable. The County of Alameda representative supported the County's position that the UAAL is, and has been, equitable.

Staff recommended to the Actuarial Committee that the Board not proceed with the study at this time. Given that the outstanding issue of the pre-separation liability is unresolved, and that changing the methodology is such a significant change in plan design, staff did not believe that the issue was ripe for a study at this time.

Trustee Basgal tabled the motion, suggesting that AHS and County of Alameda discuss the issues first to attempt to come to agreement before bringing the issue back to the Board. She felt there were not just methodology changes, but also policy changes that AHS was trying to address.



At today's Board meeting, Trustee Keith Carson stated that there have been several meetings between the County and AHS regarding AHS' request for a methodology change and Segal Consulting's associated study. The Board had a brief discussion regarding the impact the study could have on ACERA due to the time it would take to conduct the study, expenses, etc. Alameda County Administrator Susan Muranishi reiterated the County's position and stated that the County will meet with AHS in an attempt to reach an agreement.

Staff and representatives from Segal Consulting presented and discussed ACERA's Triennial Experience for years 2014-2016. The significant non-economic and economic assumption change recommendations discussed were:

- Change to the MP2016 mortality table;
- Change to a 7.25% actuarial assumed interest rate of return; and
- Change to a 3.00% inflation rate.

Staff discussed the changes made to the 2017 Actuarial Committee Work Plan. There will be an additional committee meeting on December 21, 2017, which will include an actuarial peer review of ACERA's triennial experience study conducted by Cheiron.

### **17-103**

**It was moved by Ophelia Basgal and seconded by Liz Koppenhaver that the Board approve the September 13, 2017, Actuarial Committee minutes. The motion carried 7 yes (*Amaral, Basgal, Cain-Darnes, Gamble, Koppenhaver, Rogers, Wood*), 0 no, and 1 abstention (*Carson*).**

### **OLD BUSINESS**

Chief Executive Officer David Nelsen presented his September 21, 2017 memo regarding Changing the Date of Operations and Retirees Committee Meeting. It was decided that the schedule of the Operations and Retirees Committee meetings remain the same (on the first Wednesday of every month) so as not to interfere with Trustees' current schedules. Trustee Ophelia Basgal recommended that when there is no action to be taken by the Board, then that month's Committee meeting(s) should be cancelled. Mr. Nelsen reminded the Board that Committee Chairs can cancel their designated Committee meetings whenever there is no action to be taken and that any informational items for that month can be provided to the Trustees (via DropBox, email, etc.).

Trustee Keith Carson stated that some Trustees may also serve on other Boards, that those Boards issue a "year in advance" meeting schedule, and suggested that other organizations' Board meetings may fall on the same days ACERA holds its Committee meetings, which could prove to be very challenging.

**NEW BUSINESS**

**SACRS Proxy Voting**

Mr. Nelsen presented his September 21, 2017, memo requesting to vote ACERA's Proxy on behalf of the Board of Retirement at the upcoming State Association of County Retirement Systems (SACRS) Fall Conference Business Meeting. In the event Mr. Nelsen is unavailable to vote on behalf of the Board at the SACRS Business Meeting, ACERA Assistant CEO Kathy Foster was designated as the alternate voting delegate.

**17-104**

**It was moved by Annette Cain-Darnes and seconded by Tarrell Gamble that the Board direct the Chief Executive Officer (CEO) to vote ACERA's proxy on behalf of the Board of Retirement at the upcoming SACRS Fall Conference Business Meeting, and designate the ACERA Assistant CEO as the alternate (secondary) voting delegate in the event the CEO is unavailable to vote. The motion carried 9 yes (*Amaral, Basgal, Cain-Darnes, Carson, Gamble, Koppenhaver, Levy, Rogers, Wood*), 0 no, and 0 abstentions.**

**Board Off-Site Meeting**

Mr. Nelsen presented his September 21, 2017, memo regarding the Board Off-Site and reminded the Board that the Off-Site will be held at the Redwood Canyon Golf Course in Castro Valley, CA. It was noted that ACERA's Health Fair will also be held at the Redwood Canyon Golf Course this year. Mr. Nelsen presented a proposed Off-Site agenda that listed the topics and names of the speakers, which included Research Director Keith Brainard of NASRA, along with other nationally renowned speakers. Mr. Nelsen informed the Board that Mr. Toby Moskovitz of Yale, who was scheduled to speak on the issue of Global Investment Outlook, is no longer available; Staff is currently looking for a replacement. Mr. Nelsen asked that the Board provide him with feedback regarding the topics of discussion and/or the speakers.

**David Nelsen, Chief Executive Officer's Report**

Mr. Nelsen presented his September 21, 2017, written Chief Executive Officer's Report which provided an update on the following items: **1)** Senior Manager Recruitment informing the Board that all vacancies have been filed; **2)** Committee/Board Action Items; **3)** Conference Schedule; **4)** CEO Outreach; and **5)** Other Items.

## **CONFERENCE REPORTS**

Trustee Rogers reported that she attended a broad-range of interesting sessions last week at the Council of Institutional Investors (CII) Fall Conference. One of the sessions was conducted by a representative of the United States Securities and Exchange Commission (SEC). There was also a session that consisted of a panel wherein they discussed the impact on immigration policy changes on institutional investors. Directors from the S&P 500 and MSCI Indices discussed “Snapchat’s” ineligibility to participate in the S&P 500 Index because they have two different classes of shares (voting and non-voting). There was also a CEO Round Table conducted by Director of Washington State Department of Retirement Systems Tracy Guerin. CII plans to continue to have these types of Round Table discussions.

## **ANNOUNCEMENTS**

None.

## **PUBLIC INPUT**

Retired Employees of Alameda County (REAC) Board Member Jerry Jacobs made the following announcements:

- REAC Fall Luncheon will take place on Monday, October 9, 2017 at 12:00 p.m.
- CRCEA Conference is being hosted by REAC and will take place on Sunday, October 22 thru Wednesday, October 25, 2017 at the Hilton Garden Inn in Emeryville, CA.

Retiree and former Alameda County Child Welfare Worker Katherine Adams introduced herself. It was noted that Ms. Adams has appeared before the Board several times in the past regarding this issue which deals with whether or not Ms. Adams’ service-connected disability retirement benefit income was taxable at the time she retired. Ms. Adams stated that she received information about two and a half years ago that she believes proves ACERA should enter into a financial settlement with her due to the overpayment of taxes to the Internal Revenue Service. Chair Amaral asked Ms. Adams if today’s presentation was a restatement of information the Board previously received from her and if so, the Board has already taken action and there is nothing more it can do. Ms. Adams said she had new information to share with the Board. After a brief discussion, Chair Amaral informed Ms. Adams that Staff thoroughly reviewed and investigated this matter, which included review by ACERA’s Legal Department Staff; therefore, the Board has met its obligation.

**BOARD INPUT**

None.

**CLOSED SESSION**

**A. Pursuant to Government Code Section 54956.9 – Existing Litigation:**

*Rivera v. ACERA*, Alameda County Superior Court Case No. RG15798109.

**B. Pursuant to Government Code §54956.9(d)(4) – Pending Litigation (1 case).**

**REPORT ON ACTION TAKEN IN CLOSED SESSION**

**A. *Rivera v. ACERA*, Alameda County Superior Court Case No. RG15798109:**

- 1) Discussion of and possible motion to Vacate Board of Retirement decision dated September 17, 2015 contained in Resolution No. 15-122.

**17-105**

It was moved by Ophelia Basgal and seconded by Elizabeth Rogers that the Board vacate Board of Retirement Resolution No. 15-122 and the September 17, 2015 decision contained within it, which denies Ms. Robyn Rivera’s appeal regarding eligibility for a non-service connected disability retirement benefit. The Board’s June 7, 2017 *Findings of Fact and Statement of Decision* denying Robyn Rivera’s application for a non-service disability retirement benefit is not impacted by this Resolution (No. 17-105). The motion carried 7 yes (*Amaral, Basgal, Cain-Darnes, Carson, Koppenhaver, Levy, Rogers*), 0 no, and 0 abstentions.

**B. Pending Litigation (1 case).**

**17-106**

It was moved by Ophelia Basgal and seconded by Elizabeth Rogers that the Board authorize ACERA’s Chief Counsel to sign a letter to the California Supreme Court in support of joining in the request for petition for review regarding the *Irvin* matter. The motion carried 7 yes (*Amaral, Basgal, Cain-Darnes, Carson, Koppenhaver, Levy, Rogers*), 0 no, and 0 abstentions.

**ADJOURNMENT**

The meeting adjourned at approximately 3:19 p.m.

Respectfully Submitted,



David Nelsen  
Chief Executive Officer

10/19/17

Date Adopted

---

**APPENDIX A  
APPLICATION FOR SERVICE RETIREMENT**

---

CATALAN, Yolanda D.  
Effective: 01/31/2017  
Social Services Agency

LILENTHAL, Citas S.  
Effective: 07/30/2016  
Alameda Health System

DENZLER, Joan K.  
Effective: 04/01/2017  
Alameda Health System

LUEVANO, Jean C.  
Effective: 06/24/2017  
District Attorney

FOSTER, Pamela A.  
Effective: 07/01/2017  
Auditor-Controller - DEF

LYDIA, Gary  
Effective: 03/25/2017  
Social Services Agency

GLOVER, Dianne  
Effective: 03/25/2017  
Health Care Services Agency

MATTEO, Frank D.  
Effective: 02/25/2017  
Sheriff's office

GRIFFIN, Shirley A.  
Effective: 04/09/2016  
Alameda Health System

MAYBERRY, Marcia M.  
Effective: 03/31/2017  
Social Services Agency

HAYE, James T.  
Effective: 06/01/2017  
Behavioral Health Care Services – DEF

MENDOZA, Rosalia  
Effective: 03/25/2017  
Child Support Services

HICKMAN, June A.  
Effective: 03/25/2017  
Assessor

MOURAD, Eva  
Effective: 03/31/2017  
Health Care Services Agency

HONG, Wyman L.  
Effective: 03/25/2017  
Zone 7

MURRAY, Marie F.  
Effective: 03/25/2017  
Health Care Services Agency

JONES, Daniel W.  
Effective: 12/25/2016  
Alameda County Fire – DEF

NINO, Ruben R.  
Effective: 06/03/2017  
Public Works Agency – DEF

JOSEPH, Arthur  
Effective: 04/01/2017  
Public Defender

PEOPLES, Denise  
Effective: 03/01/2017  
Probation

KRETZMER, Kathleen J.  
Effective: 03/31/2017  
Health Care Services Agency – DEF

RABINOVITZ, Miriam G  
Effective: 10/22/2016  
Health Care Services Agency

---

**APPENDIX A  
APPLICATION FOR SERVICE RETIREMENT**

---

ROBINSON, Jerry  
Effective: 04/01/2017  
Public Works Agency

SPIKES, Cecile A.  
Effective: 03/25/2017  
Social Services Agency

ROCERO, Angel  
Effective 03/30/2017  
Probation

TACHET, Michael E.  
Effective: 01/01/2016  
Health Care Services Agency

ROSAL, Edna B.  
Effective: 04/01/2017  
Community Development Agency

TINGLE, William E.  
Effective: 05/27/2017  
District Attorney

SERRATO, Richard M.  
Effective: 03/03/2017  
Library

TRUEX, Carol  
Effective: 05/10/2016  
Alameda Health System

SHAPIRO, Jean  
Effective 12/17/2016  
Superior Court

YUEN, Tammy M.  
Effective: 04/01/2017  
Public Defender

---

**APPENDIX B  
APPLICATION FOR DEFERRED RETIREMENT**

---

BEEK, Amy W.  
Health Care Services Agency  
Effective: 04/20/2017

CONNOR, Shanna N.  
County Counsel  
Effective: 07/04/2017

GLASSIE, Lori A.  
Health Care Services Agency  
Effective: 07/14/2017

HASSAN, Ganiyat  
Alameda Health System  
Effective: 04/18/2017

SIMONETTI, Thomas J.  
District Attorney  
Effective: 07/07/2017

YARBROUGH, Leslie A.  
Superior Court  
Effective: 06/09/2017

---

**APPENDIX B-1  
APPLICATION FOR NON-VESTED DEFERRED**

---

FLORES, Kristin  
Superior Court  
Effective: 4/7/2017

---

**APPENDIX D  
LIST OF DECEASED MEMBERS**

---

BENSON, Harry L.  
6/22/2017  
Assessor

KLINGLER, Miriam  
7/2/2017  
Non-Member Survivor of Paul Klingler

DARYANI, Hiro  
8/22/2017  
Public Works Agency

PINN, Evelyn N.  
3/7/2017  
Non-Member Survivor of Richard Pinn

DE MARCUS, Donald R.  
4/23/2017  
Fairview Fire Protection District

QUINLAN, Natalie M.  
7/18/2017  
Non-Member Survivor of Wayne Quinlan

DUFFY, Mildred C.  
7/12/2017  
Probation

SHENE, Anne  
08/16/2017  
Continuance of Theodore Shene

FUJIMOTO, Hiroo  
7/24/2017  
Auditor-Controller

SHENE, Anne  
8/16/2017  
General Services Agency

GARCIA, Delia  
7/29/2017  
Alameda Health System

Skilling, David E.  
7/8/2017  
Assessor

HAMILTON, Robert J.  
8/8/2017  
District Attorney

STA ANA, Dennis  
8/6/2017  
Assessor

HUCKABY, Eunice  
7/28/2017  
Alameda County

WALDON, Donald H.  
7/7/2017  
Probation

**APPENDIX F**  
**APPLICATION FOR DISABILITY RETIREMENT**

---

**Name:** **Blaylock III, Kirven**  
**Type of Claim:** Annual Review for SCD (Granted on 7/16/2015)

**Staff's Recommendation:**

Approve the recommendation contained in Dr. Wagner's report to continue the allowance for service connected disability and to waive future annual medical examinations and questionnaires at this time.

---

**Name:** **Pecot-Smith, Angela**  
**Type of Claim:** Service Connected

**Staff's Recommendation:**

Approve the recommendation contained in Dr. Wagner's report to grant Ms. Pecot-Smith a service connected disability. Since Ms. Pecot-Smith is over 55 years old, future annual medical examinations will not be required.

Based on Dr. Wagner's and Staff's review and determination of Ms. Pecot-Smith's ability to determine the permanency of her incapacity, to grant Ms. Pecot-Smith's request for an earlier effective date.

---

**Name:** **Sams, Albert**  
**Type of Claim:** Annual Review for SCD (Granted on 7/16/2015)

**Staff's Recommendation:**

Approve the recommendation contained in Dr. Wagner's report to continue the allowance for service connected disability and to waive future annual medical examinations and questionnaires at this time.

---

**Name:** **Varilla-DeGuzman, Heidi**  
**Type of Claim:** Annual Review for NSCD (Granted on 6/19/14)

**Staff's Recommendation:**

Approve the recommendation contained in Dr. Wagner's report to continue the allowance for non-service connected disability and to require future annual medical examinations and questionnaires.

---