



**ALAMEDA COUNTY EMPLOYEES' RETIREMENT ASSOCIATION
BOARD OF RETIREMENT
MINUTES**

Thursday, July 17, 2014

First Vice-Chair Elizabeth Rogers called the meeting to order at 2:00 p.m.

Trustees Present: Dale Amaral
Ophelia Basgal
Annette Cain-Darnes
Keith Carson
George Dewey
Elizabeth Rogers
Donald White
George Wood
Darryl Walker (*Alternate*)
David Safer (*Alternate*)

Trustees Excused: Liz Koppenhaver

Staff Present: Victoria Arruda, Human Resources Officer
Angela Bradford, Administrative Specialist II
Vincent Brown, Chief Executive Officer
Kathy Foster, Assistant Chief Executive Officer
Harsh Jadhav, Chief of Internal Audit
Rose Kwong, Benefits Manager
Marguerite Malloy, Associate Counsel
Betty Tse, Chief Investment Officer

Staff Excused: Margo Allen, Fiscal Services Officer
Latrena Walker, Project and Information Services Manager

14-82

The minutes of the regular meeting of June 19, 2014 were accepted on a motion by Elizabeth Rogers Seconded by Dale Amaral, and approved by a vote of 7 yes (*Amaral, Basgal, Cain-Darnes, Dewey, Rogers, Safer, Wood*), 0 no, and 0 abstentions.

**CONSENT CALENDAR
REPORTS AND ACTION ITEMS**

APPLICATION FOR SERVICE RETIREMENT

Appendix A

APPLICATION FOR RETIREMENT, DEFERRED

Appendix B

Appendix B-1

APPLICATION FOR DEFERRED TRANSFER

Appendix C

LIST OF DECEASED MEMBERS

Appendix D

**REQUESTS FOR 130 BI-WEEKLY PAYMENTS TO RE-DEPOSIT
CONTRIBUTIONS AND GAIN CREDIT**

Appendix E

APPLICATION FOR DISABILITY RETIREMENT – NON CONTESTED ITEMS:

Appendix F

**APPLICATION FOR DISABILITY RETIREMENT – HEARING OFFICER
RECOMMENDATIONS**

Appendix G

It was moved by George Dewey, seconded by George Wood, and approved by a vote of 6 yes (*Basgal, Cain-Darnes, Dewey, Rogers, Safer, Wood*), (*Amaral recused*), 0 no, 0 abstentions, that the following resolution is adopted:

14-83

BE IT RESOLVED BY THIS BOARD that the Consent Calendar is approved as presented.

**REGULAR CALENDAR – REPORTS AND ACTION ITEMS
DISABILITIES, CURRENT AND CONTINUING RECOMMENDATIONS AND
MOTIONS**

None.

COMMITTEE REPORTS, RECOMMENDATIONS AND MOTIONS

Note: Per Committee Chairs, copies of the Committee meeting minutes were available for attendees. All July Committee meeting minutes are posted on the ACERA website (www.acera.org) after approval at the July 17, 2014 Board meeting. This month's Committee reports were presented in the following order:

Actuarial:

George Wood reported that the Actuarial Committee met on June 19, 2014 at 11:34 a.m., and highlighted the following:

Margo Allen, Fiscal Services Officer, explained that staff was seeking a decision by the Actuarial Committee and Board about the Contingency Reserve interesting crediting process in order to finalize ACERA's interest crediting policy.

Paul Angelo, Segal's Senior Vice President and Actuary, and Andy Yeung, Segal's Vice President and Associate Actuary, discussed scenarios for and against the use of the contingency reserve during a period in which earnings are less than the assumed rate of return, but are not negative.

Trustee Basgal asked Vince Brown, CEO, which scenario he recommends. Mr. Brown responded that he recommends using the contingency reserve whenever earnings are less than the assumed rate of return, but are not negative.

After a discussion, it was moved by Ophelia Basgal and seconded by David Safer that the Actuarial Committee recommends to the Board of Retirement that the Board approve the use of the contingency reserve whenever earnings during the 6 month interest crediting period are less than the assumed rate of return, but are not negative. The motion did *not* pass with 3 yes (*Basgal, Carson, and Safer*), 2 no (*Amaral and Cain-Darnes*), and 1 abstention (*Wood*).

Marguerite Malloy, Associate Counsel, stated that this action item could be discussed and voted upon again at the June Board meeting, providing it is on the agenda. The Board took action on this item at the June 19, 2014 Board meeting.

Since the first action item did not pass, the Actuarial Committee elected to postpone a discussion and possible motion on the size of the Contingency Reserve until the June Board meeting, when this item can be considered along with the first action item pertaining to the condition under which the Contingency Reserve can be used.

Margo Allen, Fiscal Services Officer, presented a summary of the legal determination of ACERA's current practice of crediting interest to the 401(h) account. Hanson Bridgett returned its determination on May 19, 2014 and concluded that the practice of crediting interest to the 401(h) account was appropriate and recommended that ACERA continue with the current practice.

Margo Allen, Fiscal Services Officer, introduced the review of the proposed Actuarial Funding Policy. Paul Angelo, Segal's Senior Vice President and Actuary, explained that all the components in the proposed Actuarial Funding Policy have already been approved by the Board of Retirement and are being used by ACERA. Mr. Angelo said that the purpose of this policy is to record all these elements into one document and that a funding policy is a requirement of GASB 67. Ms. Allen added that the proposed policy will be brought to the Actuarial Committee for approval at the next meeting.

14-84

It was moved by George Wood and seconded by George Dewey that the Board approve the June 19, 2014 Actuarial Committee minutes. The motion carried 8 yes (*Amaral, Basgal, Cain-Darnes, Dewey, Rogers, Safer, White, Wood*), 0 no, and 0 abstentions.

Audit:

Donald White reported that the Audit Committee met on June 19, 2014 at 10:30 a.m., and highlighted the following:

Margo Allen, Fiscal Services Officer proposed to take up the first information item concerning the presentation by Williams Adley & Company, LLP (WACO) of the 2013 Observations and Recommendations, before taking action on this item.

After presentation and discussion of the 2013 Observations and Recommendations, Donald White moved and George Wood seconded a motion to recommend that the Audit Committee recommend to the Board that the Board accept and file Observations and Recommendations from Williams, Adley & Company LLP for the year ended December 31, 2013 and the comments from Staff. The Board took action on this item at the June 19, 2014 Board meeting.

Harsh Jadhav, Chief of Internal Audit, and Caxton Fung, Assistant Accounting Manager, presented the Proposed Employer Audit of First Five of Alameda.

After the presentation and discussion of the Proposed Employer Audit of First Five of Alameda, George Wood moved and Keith Carson seconded a motion to recommend that the Audit Committee recommend to the Board that the Board approve the audit objective, scope and proposed audit plan for the employer audit of First Five of Alameda, and for the Internal Audit Department to move forward in conducting the employer audit. The Board took action on this item at the June 19, 2014 Board meeting.

Harsh Jadhav, Chief of Internal Audit, and Caxton Fung, Assistant Accounting Manager, presented the Proposed Employer Audit of Livermore Area Recreation and Park District.

After the presentation and discussion of the Proposed Employer Audit of Livermore Area Recreation and Park District (LARPD), George Wood moved and Donald White seconded a motion to recommend that the Audit Committee recommend to the Board that the Board approve the audit objective, scope and proposed audit plan for the employer audit of LARPD, and for the Internal Audit Department to move forward in conducting the employer audit. The Board took action on this item at the June 19, 2014 Board meeting.

External Audit

Williams Adley & Co. LLP, presented its 2013 Observations and Recommendations.

In the external auditor's presentation Robert Griffin, WACO's Managing Partner, presented the 2013 Observations and Recommendations.

Mr. Griffin reported there was one finding of no transfers made from the Employers' Advance Reserve to the Retired Member Reserve for three disability retirements tested. While the net position reported as of December 31, 2013 is correctly stated, components of total reserves are not accurate. Included in the report were WACO's recommendation and the Management Response.

Internal Audit

Harsh Jadhav, Chief of Internal Audit, and Caxton Fung, Assistant Accounting Manager, presented the Proposed ACERA Policy for Audit of Employer and Employee Compensation and Retirement Information for the Audit Committee's comments and consideration. Staff will bring the final policy back to the next Audit Committee for approval. If approved, staff will recommend that the Audit Committee recommend to the Board to adopt the Policy.

Harsh Jadhav, Chief of Internal Audit, and Caxton Fung, Assistant Accounting Manager, presented the status update for the 2014 Internal Audit Plan, and the 2014 Participating Employers Audit Plan.

14-85

It was moved by Donald White and seconded by George Dewey that the Board approve the June 19, 2014 Audit Committee minutes. The motion carried 8 yes (Amaral, Basgal, Cain-Darnes, Dewey, Rogers, Safer, White, Wood), 0 no, and 0 abstentions.

Operations:

Dale Amaral reported that the Operations Committee met on July 2, 2014 at 9:37 a.m., and highlighted the following:

Rose Kwong, Benefits Manager, presented the matter of Ms. Patty Hubble's appeal of staff's decision to deny her request to re-establish reciprocity between ACERA and San Francisco City and County Employees' Retirement Association. Ms. Hubble and her attorney Ms. Denise Eaton-May were present. Ms. Eaton-May gave the Trustees a handout with additional information including benefit calculations. Ms. Eaton-May then presented her argument in favor of re-establishing Ms. Hubble's reciprocity.

Following a discussion, Dale Amaral, Committee Chair, stated that no action should be taken until such time as the Trustees and staff had an opportunity to review the information contained in Ms. Eaton-May's handout. Vince Brown, CEO, stated that this agenda item and the information in the handout will be taken back for further discussion and brought to the Board meeting. Kathy Foster, Assistant CEO, suggested to Ms. Hubble that Ms. Hubble and her attorney ask the San Francisco City and County Employees' Retirement System to conduct another review of Ms. Hubble's situation to determine if that system has a remedy. No action was taken.

Margo Allen, Fiscal Services Officer, presented the year-to-date operating expenses – budget vs. actual. As of May 31, 2014 actual expenses are \$845,196 under budget.

Vince Brown, CEO, presented SACRS Executive Director Robert Palmer's memo, "Sustaining Public Defined Benefit Plans", which outlines a proposed action plan for the SACRS Board to consider if it decides to retain a public relations firm to assist SACRS in developing an educational campaign about the positive aspects of defined benefit plans.

Kathy Foster, Assistant CEO, presented a synopsis of the impact to legacy members of the final judgment in the *DSA v. ACERA* lawsuit with respect to implementing AB 340 and AB 197.

Michael Fara, Communications Manager, provided a comprehensive update on ACERA's redesigned website.

Sharen Stanek-Lowe, Assistant Benefits Manager, provided a report on why ACERA asks for the last four digits of a member's social security number when the member speaks with the Call Center. Ms. Basgal stated that collecting the last four digits of a member's social security number raises privacy issues, and suggested that consideration be given to using another identifier.

Victoria Arruda, Human Resources Director reported on the 2nd quarter position vacancies that are approved in the 2014 budget.

14-86

It was moved by Dale Amaral and seconded by George Wood that the Board approve the July 2, 2014 Operations Committee minutes. The motion carried 8 yes (*Amaral, Basgal, Cain-Darnes, Dewey, Rogers, Safer, White, Wood*), 0 no, and 0 abstentions.

Retirees:

Dale Amaral reported that the Retirees Committee met on July 2, 2014 at 11:15 a.m., and highlighted the following:

Kathy Foster, Assistant Chief Executive Officer, presented Monthly Medical Allowance (MMA) cost comparisons for the 2014 and 2015 plan years. Staff recommended that there be no increase to the MMA amount for 2015, thereby maintaining the current MMA maximum for the group plans of \$522.16 for plan year 2015.

14-87

It was moved by George Dewey and seconded by Ophelia Basgal that the Board adopt a 2015 Group Plan Monthly Medical Allowance (MMA) equal to the 2014 MMA for eligible retirees in the group plans, thereby maintaining the current MMA maximum of \$522.16 for plan year 2015. The MMA contribution is a non-vested benefit subject to possible reduction or elimination if Board policies change or funds are unavailable. This benefit is funded by contributions from ACERA employers to the 401(h) account. After contributions are made, in accordance with the County Employee Retirement Law (CERL), ACERA treats an equal amount of Supplemental Retiree Benefit Reserve (SRBR) assets as employer contributions for pensions. The motion carried 7 yes (*Basgal, Cain-Darnes, Dewey, Rogers, Safer, White, Wood*), 1 no (*Amaral*), and 0 abstentions.

Kathy Foster, Assistant Chief Executive Officer, presented Individual Plans Monthly Medical Allowance (MMA) costs for the 2014 plan year based on the maximum MMA provided, and the actual reimbursements for the 2013 plan year, the first year of coverage (11 months of coverage for 2013). Staff recommended that there be no increase to the MMA amount for 2015, thereby maintaining the current MMA maximum for the individual plans of \$400 for plan year 2015. This recommendation is based on the fact that the average reimbursement amounts reported for 2013 was \$213 per month, with no significant premium increases occurring in 2014.

14-88

It was moved by George Dewey and seconded by George Wood that the Board adopt a 2015 Individual Plan Monthly Medical Allowance (MMA) equal to the 2014 MMA to be provided to eligible retirees enrolled in individual plans through the Medicare Exchange, thereby maintaining the current MMA maximum of \$400 for plan year 2015. The MMA contribution is a non-vested benefit subject to possible reduction or elimination if Board policies change or funds are unavailable. This benefit is funded by contributions from ACERA employers to the 401(h) account. After contributions are made, in accordance with the County Employee Retirement Law (CERL), ACERA treats an equal amount of Supplemental Retiree Benefit Reserve (SRBR) assets as employer contributions for pensions. The motion carried 8 yes (*Basgal, Cain-Darnes, Carson, Dewey, Rogers, Safer, White, Wood*), 1 no (*Amaral*), and 0 abstentions.

Keenan & Associates, ACERA's Benefits Consultant, provided updates to the Affordable Care Act (ACA), and information regarding Covered California and the federal marketplace, to include updated enrollment statistics. Trustees directed Staff to provide additional information on whether the subsidy eligible enrollees are receiving the subsidy and the amounts of the subsidy received.

Keenan & Associates, ACERA's Benefits Consultant, provided information on ACERA's recent plan experience, utilization, and benefit alternatives for both Delta Dental (ACERA's dental plan) and VSP (ACERA's vision plan). Trustees directed Staff to provide additional specific information for discussion at the August Committee meeting.

Sharen Stanek-Lowe, Assistant Benefits Manager, provided an update on the project plan with Kaiser Permanente to have current ACERA Kaiser members enroll in Medicare Part B, stating that currently 10 out of 104 unassigned/grandfathered participants have enrolled.

Sharen Stanek-Lowe, Assistant Benefits Manager, provided a report on the annual results of the performance guarantees with Delta Dental, stating that not all of DeltaCare® USA's metrics were met, which resulted in a penalty amount of \$383.35.

14-89

It was moved by Dale Amaral and seconded by George Wood that the Board approve the July 2, 2014 Retirees Committee minutes. The motion carried 9 yes (*Amaral, Basgal, Cain-Darnes, Carson, Dewey, Rogers, Safer, White, Wood*), 0 no, and 0 abstentions.

Investment:

George Wood reported that the Investment Committee met on July 9, 2014 at 9:37 a.m., and highlighted the following:

Representatives from Neuberger Berman's Dyal Capital Partners business unit presented to the Committee the goals, the investment strategy and investment process of the Dyal II US Investors, L.P. (Fund). They described the Fund's due diligence evaluation criteria, deal structures, and performance expectations. The representatives also explained potential exit strategies; the backgrounds and expertises of the members of the Dyal Capital Partners and all fees.

14-90

It was moved by George Wood and seconded by Dale Amaral that the Board adopt a \$40.0 million commitment to Dyal II US Investors, L.P. pending completion of background investigations, legal due diligence, and successful contract negotiation. The motion carried 8 yes (*Amaral, Basgal, Cain-Darnes, Carson, Rogers, Safer, White, Wood*), 0 no, and 1 abstentions (*Dewey*).

Representatives from AEW provided an overview of AEW's organization, AEW Value Investors L.P. (AEW) and performance for trailing 12 months and since inception.

Accordingly, there have been no significant changes to AEW's organization. AEW is a research-driven, top-quartile manager for its vintage year (2007). For the first quarter of 2014, AEW returned 2.7% before fees and had a market value of \$14.1 million. One-year returns before fees for AEW was 22.2%. AEW expects to ask for the second of three one-year extensions by April 2015 as it is taking advantage of the strong multifamily markets and winding down the closed-end fund. The Committee asked questions and discussed key points; thanked AEW for their presentation.

For the first quarter of 2014, CIM IV returned 7.5% before fees and had a market value of \$30.9 million while CIM VI returned 1.1% before fees and had a market value of \$25.8 million. One-year returns before fees for CIM VI was 7.0%, while one-year returns for CIM IV were unavailable due to its reverse merger and subsequent Initial Public Offering.

Prudential representatives discussed the company's investment philosophy and provided an overview of the Funds that ACERA is invested in. For the first quarter of 2014, PRISA returned 2.5% before fees and had a market value of \$41.2 million, PRISA II returned 3.3% before fees and had a market value of \$38.6 million, and PRISA III returned 2.2% before fees and had a market value of \$3.2 million. One-year returns before fees for PRISA, PRISA II, and PRISA III were 13.8%, 16.6%, and 13.7%, respectively. ACERA is in the process of transferring out of PRISA II and moving to PRISA III as adopted by the Board on February 21, 2013. As of March 31, 2014, \$2.9 million has been invested in PRISA III from PRISA II.

14-91

It was moved by George Wood and seconded by Dale Amaral that the Board approve the July 9, 2014 Investment Committee minutes. The motion carried 9 yes (Amaral, Basgal, Cain-Darnes, Carson Dewey, Rogers, Safer White, Wood), 0 no, and 0 abstentions.

Budget:

Ophelia Basgal reported that the Budget Committee met on July 17, 2014 at approximately 9:37 a.m., and highlighted the following:

Kathy Foster, Assistant Chief Executive Officer, presented her July 17, 2014 memo describing the end of the *DSA* Litigation and appellate court **denial** of Petitioners' request for a Stay of the Superior Court's Judgment. Ms. Foster provided the number of retirement applications ACERA received as a result of the implementation of AB 197, reporting 119 retirements in total.

Ms. Foster informed the Committee that since receipt of the final Judgment, the Benefits Department has experienced an increase in workload (*i.e.*, a surge in retirement applications, additional member phone calls, walk-ins, estimate requests, and counseling appointments). Ms. Foster requested a contingency budget amount of \$165,200 for the Benefits Department and the Scanning Unit (PRISM Department) and provided details on how she arrived at the estimated amount.

Even with the addition of the proposed contingency amount, the total budget is within the overall amount approved by the Board. There was some discussion about whether such contingency requests are necessary and if so, when and how they should be made. The Committee proposed that this topic be considered at a future Budget Committee meeting.

14-92

It was moved by Ophelia Basgal and seconded by George Dewey that the Board approve the \$165,200 appropriation of the proposed contingency fund due to foreseeable staffing/financial impact of the expiration on the Stay of the *DSA* Litigation matter effective July 11, 2014. The motion carried 9 yes (Amaral, Basgal, Cain-Darnes, Carson, Dewey, Rogers, Safer, White, Wood), 0 no, and 0 abstention.

The formal Budget Committee minutes for July 17, 2014 will be approved at the August 21, 2014 Board meeting.

NEW BUSINESS

The Results Group (TRG): Proposed ACERA Organizational Restructure Position Paper

Mr. Brown explained that after TRG's Strategic Planning Readiness Assessment of ACERA's current organization structure, TRG recommended "...realigning several of ACERA's reporting relationships and its reorganizational structure to better support a more enterprise wide focus. The current structure does not lend itself to a coalescing of a true executive management team capable of cooperatively engaging in strategic thinking." Senior and Mid-Level Management and Lead Staff have participated in several events that have assisted in the development of strategic thinking.

Mr. Brown introduced Mr. Allen Goldstein, Senior Partner, TRG. Mr. Goldstein stated that prior to launching a Strategic Plan, TRG completed a thorough assessment. Mr. Goldstein presented TRG's *Proposed ACERA Organizational Restructure Position Paper* and highlighted the key success factors and benefits of ACERA's proposed restructure. TRG recommended that ACERA create two new key positions: (1) Chief Deputy Executive Officer (reporting directly to the CEO). The position will provide for a clearly designated position of authority in the absence of the CEO (Succession Plan) having primary responsibility for the general operations and administrative functions of the organization with specific authority as delegated to the position by the CEO and (2) Chief of Planning, Policy and Communications (also reporting directly to the CEO) with primary responsibility for development of ACERA's strategic plans and operational policies regarding ACERA's benefits programs/functions, policy compliance, preparation of Board's action and informational items, and managing ACERA's external and internal communication functions.

It was noted that by having certain positions report directly to the Deputy Chief Executive Officer as opposed to the CEO, would reduce the span of control of the CEO allowing more time to be spent on matters of strategic importance rather than focusing on the day-to-day operations of ACERA.

It was further noted that the creation of the two new key positions would not affect the quality of member services, have no financial impact (will use current budgeted vacant positions to fill the two (2) new positions), nor will it compromise the Board's fiduciary responsibilities. Annette Cain-Darnes, Board Chair, stated that ACERA will not participate in any type of organizational restructure that interferes with the Board of Retirement's fiduciary responsibilities.

Vince Brown, Chief Executive Officer, reported that the Budget Committee met on July 17, 2014 and discussed the restructure review process. The proposed restructure will be reviewed and discussed at the September, October, and November Operations and Budget Committee meetings and again at the October 17, 2014 Board Offsite. Finally, at the November Board meeting, the Board will approve the proposed restructure as part of the final Budget and Five-Year Business Plan for 2015.

Vince Brown, Chief Executive Officer's Report.

The CEO reported on the following items:

- TRG conducted an ACERA Management Team Off-Site Retreat on July 11, 2014 wherein Senior and Mid-Level Management discussed and agreed upon ACERA's Core Values, Guiding Principles, and its organizational structure. Mr. Brown will provide an oral update at the October 17, 2014 Board Off-Site Retreat.
- Mr. Brown will have completed the Senior Managers' 2014 Mid-Year Performance Evaluations by August 2014.
- Mr. Brown will be on vacation from July 23 through August 5, 2014.

TRUSTEE/PUBLIC INPUT

Dale Amaral, Board Trustee, inquired as to the status of the Patty Hubble appeal. Kathy Foster, Assistant CEO, reported that she spoke with the Director of the San Francisco City and County Employees' Retirement System (SFERS) and stated that SFERS acknowledged that they had made an error in allowing Ms. Hubble to purchase service credit. Ms. Foster will provide the Board with a report/update on the status once this issue has been resolved.

Pete Albert, Retiree, introduced Steve Scheinman as REAC's new President – taking over for Ken Moresi. Mr. Scheinman stated that the REAC Board voted unanimously in support of the Retiree Committee's recommendation that there be no increase to the MMA amount for 2015 and thanked the Board for its consideration. It was noted that ACERA's SRBR fund grew by approximately \$1 million and that the MMA has not been increased in the last five years.

Mr. Amaral reported that the Stay was **denied** regarding the *DSA v. ACERA* lawsuit with respect to the implementation of AB 340 and AB 197.

Mr. Amaral complimented Michael Fara, ACERA Communications Manager, on the great job he is doing regarding information that is being made available to our members via the ACERA Website.

Mr. Goldstein complimented the ACERA Management Team for improvement concerning effective communication throughout the organization.

ESTABLISHMENT OF THE NEXT MEETING

Thursday, August 21, 2014 at 2 p.m.

ADJOURNMENT INTO CLOSED EXECUTIVE SESSION:

1. Conference with Legal Counsel-Existing Litigation: The Board will convene into Closed Session pursuant to Government Code §54956.9(a) to confer with legal counsel regarding pending litigation in matter of:
 - *DSA v. ACERA*, Case No.: RG12658890
2. Pursuant to Government Code Section 54957 to discuss:
 - Chief Executive Officer's Mid-Year Performance Goals and Objectives

RECONVENE INTO OPEN SESSION TO REPORT ON ACTION TAKEN IN CLOSED EXECUTIVE SESSION:

The Board reconvened into Open Session and announced the following:

No action was taken.

ADJOURNMENT

The meeting adjourned at 3:05 p.m.

Respectfully Submitted,



Vincent P. Brown
Chief Executive Officer

9/10/14

Date Adopted

APPENDIX A
APPLICATION FOR SERVICE RETIREMENT

AQUINO, Elpidio D.
Effective: 1/1/2014
Social Services Agency

FLORES, Sharron
Effective: 3/29/2014
Social Services Agency

AWAMURA, Susan M.
Effective: 3/29/2014
Behavioral Health Care Services

FONG, Jack L.
Effective: 2/1/2014
Zone 7

BERGMAN, Susan F.
Effective: 2/21/2014
Alameda Health System - DEF

FOOTE, Mercedes
Effective: 3/1/2014
Social Services Agency

BESSER, Ruth E.
Effective: 12/7/2013
Superior Court

GAGE, Iona
Effective: 3/29/2014
Community Development Agency

BRAUE, George M.
Effective: 3/29/2014
County Counsel

GALLON, Regina L.
Effective: 3/29/2014
Sheriff's Office

BRIGHTON, James R.
Effective: 3/29/2014
Superior Court

HARRIS, Damon W.
Effective: 3/28/2014
Sheriff's Office

CASTELLUCCIO, Robert A.
Effective: 2/15/2014
Sheriff's Office

HASSON, Karin L.
Effective: 1/1/2014
Sheriff's Office - DEF

DE VOE, Dorothy M.
Effective: 3/30/2014
Social Services Agency - DEF

HERNANDEZ, Lydia
Effective: 3/29/2014
Social Services Agency

FARIA, Brent J.
Effective: 3/29/2014
Community Development Agency

JUE, Jeffrey K.
Effective: 3/29/2014
Superior Court

FELD, David R.
Effective: 3/29/2014
Public Defender

KENNEDY, Kathleen
Effective: 3/29/2014
General Services Agency

FILLINGIM, Margaret C.
Effective: 4/1/2014
Social Services Agency - DEF

MC CARTHY, Cheryl L.
Effective: 5/13/2014
DRO - DEF

**APPENDIX A
APPLICATION FOR SERVICE RETIREMENT**

MONTGOMERY, Evelyn D.
Effective: 3/29/2014
Social Services Agency

TAN, Teresa
Effective: 4/1/2014
County Counsel - DEF

REYES, Elizabeth K.
Effective: 4/1/2014
County Administrator

TSANG, Lawrence
Effective: 3/29/2014
Probation

REYNOLDS, Leslie
Effective: 1/4/2014
Alameda Health System

WALKER, Hugh A.
Effective: 2/18/2014
Superior Court - DEF

RICHARDSON, Joyce R.
Effective: 3/15/2014
Social Services Agency

WATTS, Angela D.
Effective: 4/1/2014
Social Services Agency

ROEMER, Michael J.
Effective: 3/29/2014
District Attorney

WILLIAMS, Angeli P.
Effective: 3/29/2014
Assessor

SMITH, Gerald M.
Effective: 3/29/2014
Social Services Agency

YOUNG, Juliette A.
Effective: 3/29/2014
Assessor

ZAFRA, Leonora V.
Effective: 2/16/2014
Alameda Health System

**APPENDIX D
LIST OF DECEASED MEMBERS**

ANASTAS, Plato
6/16/2014
Information Technology Department

HARNER, Constance T.
2/23/2014
Public Health

BALINT, Endre
5/31/2014
Survivor of Nina M. Balint

JACOBS, Mary P.
12/27/2013
Survivor of James D. Jacobs

BOZZA, Winifred
6/7/2014
Social Services Agency

LARSEN-CERNIGLIA, Cathy M.
6/1/2014
Social Services Agency

CARTER, Robert
6/15/2014
Assessor

MILLMAN, Michael G.
5/31/2014
Public Defender - DEF

CHAPMAN, Bettye L.
5/28/2014
Survivor of Emerson L. Chapman

MOYNIHAN, Diana L.
5/19/2014
Child Support Services

DAVIS JR, David
5/20/2014
Probation

RHINEHART, Vivian
6/6/2014
Superior Court

GALLAGHER, Colleen V.
6/5/2014
Superior Court

SHEEHY, Sharron
4/18/2014
Survivor of Gerald R. Sheehy

GALLOWAY, Carrington L.
6/15/2014
Alameda Health System

SMITH, Vivian M.
6/8/2014
Survivor of Osborne C. Smith

GANSAH, Thelma F.
5/1/2014
Alameda Health System

TREGONING, Evelyn
6/1/2014
Health Care Services Agency

WEBER, Miles L.
5/30/2014
Alameda Health System

APPENDIX F
APPLICATION FOR DISABILITY RETIREMENT

Name: **Gutierrez, Patricia**
Type of Claim: Non-Service Connected

Staff's Recommendation:

Approve the recommendation contained in Dr. Wagner's report to grant Ms. Gutierrez a non-service connected disability. Since Ms. Gutierrez is over 55 years old, future medical examinations will not be required.

Based on Dr. Wagner's review and determination of Ms. Gutierrez's ability to determine the permanency of her incapacity, to grant Ms. Gutierrez's request for an earlier effective date.

Name: **McDonnell, James**
Type of Claim: Service Connected

Staff's Recommendation:

Approve the recommendation contained in Dr. Wagner's report to grant Mr. McDonnell a service connected disability and to not require future annual medical examinations at this time.
