



ALAMEDA COUNTY EMPLOYEES' RETIREMENT ASSOCIATION
BOARD OF RETIREMENT
MINUTES

Thursday, June 16, 2016

Chair Ophelia Basgal called the meeting to order at 2:00 p.m.

Trustees Present: Dale Amaral
Ophelia Basgal
Annette Cain-Darnes
Tarrell Gamble
Liz Koppenhaver
Elizabeth Rogers
Donald White
George Wood
David Safer (Alternate)
Darryl Walker (Alternate)

Trustees Excused: Keith Carson

Staff Present: Margo Allen, Fiscal Services Officer
Victoria Arruda, Human Resources Officer
Angela Bradford, Executive Secretary
Sandra Dueñas, Benefits Manager
Joseph Fletcher, Chief Counsel
Kathy Foster, Assistant Chief Executive Officer
Harsh Jadhav, Chief of Internal Audit
David Nelsen, Chief Executive Officer
Betty Tse, Chief Investment Officer

16-73

The minutes of the regular meeting of May 19, 2016, were accepted on a motion by Dale Amaral, seconded by Liz Koppenhaver, and approved by a vote of 8 yes (*Amaral, Basgal, Cain-Darnes, Gamble, Koppenhaver, Rogers, White, Wood*), 0 no, and 0 abstentions.

**CONSENT CALENDAR
REPORTS AND ACTION ITEMS**

APPLICATION FOR SERVICE RETIREMENT

Appendix A

APPLICATION FOR RETIREMENT, DEFERRED

*Appendix B
Appendix B-1*

APPLICATION FOR DEFERRED TRANSFER

Appendix C

LIST OF DECEASED MEMBERS

Appendix D

**REQUESTS FOR 130 BI-WEEKLY PAYMENTS TO RE-DEPOSIT
CONTRIBUTIONS AND GAIN CREDIT**

Appendix E

APPLICATION FOR DISABILITY RETIREMENT – NON CONTESTED ITEMS:

Appendix F

**APPLICATION FOR DISABILITY RETIREMENT HEARING OFFICER
RECOMMENDATIONS**

Appendix G

APPROVAL COMMITTEE MINUTES

- *May 19, 2016, Actuarial Committee Minutes*
- *May 19, 2016, Audit Committee Minutes*

MISCELLANEOUS MATTERS

- *None.*

16-74

It was moved by Elizabeth Rogers, seconded by Darryl Walker, and approved by a vote of 7 yes (*Basgal, Cain-Darnes, Gamble, Koppenhaver, Rogers, White, Wood*), (*Amaral recused*), 0 no, 0 abstentions, that the following resolution is adopted:

BE IT RESOLVED BY THIS BOARD that the Consent Calendar is approved as presented, with the removal of the Gregory Breslin matter.

REGULAR CALENDAR – REPORTS AND ACTION ITEMS
DISABILITIES, CURRENT AND CONTINUING RECOMMENDATIONS, AND
MOTIONS

- None

COMMITTEE REPORTS, RECOMMENDATIONS AND MOTIONS

*Note: Per Committee Chairs, copies of the Committee meeting minutes were available for attendees. All **May and June** Committee meeting minutes are posted on the ACERA website (www.acera.org) after approval at the **June 16, 2016**, Board meeting. This month's Committee reports were presented in the following order:*

Operations:

Dale Amaral reported that the Operations Committee met on June 1, 2016, at 9:34 a.m. and highlighted the following:

Staff presented a request from Alameda Health System to review a new pay item, Pay Code 420 – Bonus Incentive.

Following a brief discussion regarding the numerous pay codes to be reviewed at this Committee, Staff and the Committee have agreed to discuss possible revisions to the process for approval of new pay items at an upcoming Governance Committee meeting.

16-75

It was moved by Dale Amaral and seconded by Liz Koppenhaver to designate Pay Item 420 – Bonus Incentive, as neither compensation earnable nor pensionable compensation for members with entry dates before, on or after January 1, 2013, based on Government Code Sections 31461 and 7522.34. The motion carried 8 yes (*Amaral, Basgal, Cain-Darnes, Gamble, Koppenhaver, Rogers, White, Wood*), 0 no, and 0 abstentions.

Staff presented a request from Alameda Health System to review and approve seven (7) pay items as compensation earnable and pensionable compensation for members with entry dates before, on or after January 1, 2013, based on Government Code Sections 31461 and 7522.34:

Individual Motion Numbers are listed below:

It was moved by Dale Amaral and seconded by Elizabeth Rogers to approve the inclusion of the following seven (7) Alameda Health Systems pay items as compensation earnable and pensionable compensation for members with entry dates before, on or after January 1, 2013, based on Government Code Sections 31461 and 7522.34. The motion carried 8 yes (*Amaral, Basgal, Cain-Darnes, Gamble, Koppenhaver, Rogers, White, Wood*), 0 no, and 0 abstentions.

- | | |
|---|--------------|
| 1. 23T – Evening \$12.00 Hr: | <u>16-76</u> |
| 2. 23U – Night \$27.00 Hr: | <u>16-77</u> |
| 3. 26I – Evening premium \$32.97 Hr: | <u>16-78</u> |
| 4. 26J – Evening premium \$48.27: | <u>16-79</u> |
| 5. 135 – In Service Education Training: | <u>16-80</u> |
| 6. 233 – Alternate Range: | <u>16-81</u> |
| 7. 237 – Nursing Ward Duties | <u>16-82</u> |

Staff presented a request from Alameda Health System to review and approve the inclusion of Pay Item 404 – Housing Allowance Earnings as not pensionable compensation for a member (PEPRA) with an entry date on or after January 1, 2013, based on Government Code Section 7522.34, and approve the inclusion of this pay item as compensation earnable for a member (legacy) with an entry date prior to January 1, 2013, based on Government Code Section 31461.

16-83

It was moved by Dale Amaral and seconded by Elizabeth Rogers to designate Pay Item 404 - Housing Allowance Earnings as not pensionable compensation for a member (PEPRA) with an entry date on or after January 1, 2013, based on government code section 7522.34, and approve the inclusion of this pay item as compensation earnable for a member (legacy) with an entry date prior to January 1, 2013, based on Government Code Section 31461. The motion carried 8 yes (*Amaral, Basgal, Cain-Darnes, Gamble, Koppenhaver, Rogers, White, Wood*), 0 no, and 0 abstentions.

Staff presented a request to approve the annual contract for Keenan & Associates.

Following a brief discussion regarding obtaining a Request for Proposal (RFP) well in advance of the 5-year deadline for this and other active contracts, the committee directed Staff to ensure timely RFPs moving forward and/or detailed updates on the process well in advance of due dates. There are presently at least 2 RFP projects due by the end of the year.

16-84

It was moved by Dale Amaral and seconded by Liz Koppenhaver to approve the annual contract for \$126,000 effective July 1, 2016, for ACERA’s Benefits Consultant, Keenan & Associates. The motion carried 8 yes (*Amaral, Basgal, Cain-Darnes, Gamble, Koppenhaver, Rogers, White, Wood*), 0 no, and 0 abstentions.

Staff presented the year-to-date operating expenses – budget vs. actual. As of April 30, 2016, actual expenses are \$304,341 under budget.

Staff presented on the security measures currently in place within ACERA’s internal information systems. The committee directed staff to impose mandatory training for staff to be completed on an annual basis to support ongoing internal security efforts.

16-85

It was moved by Dale Amaral and seconded by George Wood that the Board approve the June 1, 2016 Operations Committee minutes. The motion carried 8 yes (*Amaral, Basgal, Cain-Darnes, Gamble, Koppenhaver, Rogers, White, Wood*), 0 no, and 0 abstentions.

Retirees:

Tarrell Gamble reported that the Retirees Committee met on June 1, 2016, at 10:30 a.m. a.m. and highlighted the following:

Staff reported on healthcare inflation factors for 2016 and 2017 based on the information provided by Segal Consulting, ACERA’s Actuary, and Keenan & Associates (Keenan), ACERA’s Benefits Consultant. The trend assumptions provided have been reset to start at 6.75% for non-Medicare and Medicare Advantage plans. The trend used for dental, vision and Medicare Part B is 5%. These trends will be used in accordance with ACERA’s GASB 43 substantive plan definition. Keenan also provided a six-year ACERA rate history for the period 2011 through 2016 for Kaiser Permanente and UnitedHealthcare.

Staff presented Group and Individual plan enrollment Monthly Medical Allowance (MMA) cost comparisons for the 2016 and 2017 plan years. This item will be brought back to the Retirees Committee for further discussion and possible approval of the 2017 MMA at the July 2016 meeting. Trustees directed Staff to provide 1) information on the number of retirees with reciprocity, who retired from other systems; 2) the percentage of early retirees on disability, who are enrolled in the Kaiser HMO plan; and 3) an analysis in consideration of putting a cap on the amount ACERA would pay the County of Alameda for the Implicit Subsidy Program.

Staff provided information on the planning for ACERA's annual Open Enrollment and Retiree Health Fair. During the discussion regarding the idea of changing the venue of the Retiree Health Fair, Trustees directed Staff to look into the possibility of holding the Health Fair in a vacant building that is owned by the County of Alameda located in Oakland. It was noted that the facility *may* be free of charge.

Staff provided follow-up information from OneExchange discussed at the May Retirees Committee meeting, stating that ACERA's account is handled in the United States; that ACERA is an "On Shore only client". Also, OneExchange confirmed that there were no data breaches with ACERA information.

16-86

It was moved by Tarrell Gamble and seconded by Liz Koppenhaver that the Board approve the June 1, 2016 Retirees Committee minutes. The motion carried 8 yes (Amaral, Basgal, Cain-Darnes, Gamble, Koppenhaver, Rogers, White, Wood), 0 no, and 0 abstentions.

Investment:

George Wood reported that the Investment Committee met on June 8, 2016 at 9:36 a.m. and highlighted the following:

Regarding ACERA's International Equity Manager Structure asset class, representatives from Verus reminded the Committee of its previous recommendation made in the May ICM. The named recommendation of Alternative #2 stated: 40% passive, 40% large cap quantitative, 10% small cap and 10% Emerging Markets. Verus talked about the importance of adding a passive index component to the asset class and maintaining diversification, given the committee's sensitivity to investment return and risk. To that end, Verus indicated that it was comfortable with a more incremental approach of recommending Alternative #1 which stated: 20% passive, 20% large cap growth, 20% large cap value, 20% large cap quantitative, 10% small cap and 10% Emerging Markets. Staff concurs with Verus recommendation to move to a more passive approach, incrementally.

Representatives from Capital Group presented to the trustees 1) the viability of active management and 2) a proposed new fee structure (including an incentive performance fee) for Committee members to consider.

Representatives from Mondrian presented its track record and success at outperforming the MSCI ACWI ex-US and the ACWI ex-US IMI Value Index. Mondrian is the only active manager in the Int'l Equities asset class currently not on ACERA's Watchlist/Probation status.

Committee members discussed the importance being proactive in the difficult global markets including the need to be enabled by policies that allow the Committee to act quickly with respect to the contract review process as described by ACERA's Policy.

Chief Investment Officer Betty Tse stated that the Investment Committee is recommending to the Board of Retirement the following two new Investment Styles: 1) establishment of a 10% Emerging Markets sub-asset class in the International Equity Markets, and 2) 20% in a Passive Developed Market Index for non-US equities. Ms. Tse explained that ACERA's large cap growth was also reduced from an existing 40% to 20% and ACERA's large cap value was reduced from an existing 30% to 20%. After further explanation, the Board made the following motion:

16-87

It was moved by George Wood and seconded by Liz Koppenhaver to adopt Alternative #1 for the New International Equity Manager Structure. The new manager structure for International Equity will be changed to (1) 20% passive developed market index non-US Equity, (2) 20% large cap value non-US Equity, (3) 20% large cap growth non-US Equity, (4) 20% large cap core quantitative (no change), (5) 10% small cap non-US Equity (no change), and (6) 10% in a newly-established sub-asset class for Emerging Markets. Within a year, Staff and Verus, ACERA's General Consultant, will update the Investment Committee and the Board regarding the implementation status of Alternative #1 and, if necessary, will recommend further action. The motion carried 8 yes (*Amaral, Basgal, Cain-Darnes, Gamble, Koppenhaver, Rogers, White, Wood*), 0 no, and 0 abstentions.

For 1Q2016, ACERA's Total Fund gross returned 0.2% (gross) compared to the policy index return of 1.4%. For the year ending 3/31/2016, the Total Fund return was -1.7% (gross) compared to the Policy Index of -0.5%. The Total Fund ranked in the 96th and 69th percentiles for the quarter and one year periods, respectively.

For 1Q2016, ACERA's U.S. Equity, International Equity, and Fixed Income asset classes returned -2.5%, 0.8% and 4.4% (gross) compared to their benchmarks 1.0%, -0.1%, and 4.0%, respectively. On a 1-year basis, these asset classes returned -3.3%, -6.9%, and 0.7%, respectively.

U.S. Equity accounted for 32.0% of the Total Fund, International Equity 28.0%, and Fixed Income 16.7%. As of 3/31/2016, the market value of the Total Fund was \$6,621,249,973.

ACERA's real estate portfolio returned net 1.8% for 1Q 2016 and 15.6% for the 1-year period ending 3/31/2016 compared to the NCREIF-ODCE benchmark of 2.0% and 12.6%, respectively.

The total market value of ACERA's real estate portfolio was \$487.6 million; with approximately 7.4% of ACERA's total Fund. Chief Investment Officer Betty Tse confirmed that the total allocation of funds is at 8%.

As of 12/31/2015 the Private Equity and Alternatives Return Leading Strategies Portfolio ("PEARLS") had a total market value of \$793.8 million, with \$450.4 million in Private Equity and \$343.3 million in Alternatives.

The PEARLS portfolio has produced 11.6% IRR since inception, including all inactive funds. Private Equity investments, averaging 2.9 years, produced 14.8%; and the Alternatives portfolio, now averaging 2.1 years, produced 6.2%.

ACERA made two new investments to Private Equity in the second half of 2015, a re-up to Warburg Pincus for \$43 million (in the Warburg Pincus Fund XII) and a \$50 million commitment to a new debt-related Fund, Catalyst Fund V. In Alternatives, \$100 million commitment was invested with Lighthouse Partners in the Lighthouse Alpha Fund.

As of 12/31/2015, the Real Assets had a total market value of \$235,279,483; approximately 3.5% of ACERA's Total Fund. Gross return for the Real Assets class was -17.8% one year trailing period.

Staff announced that over the course of the year, Staff will bring to the Committee a series of RFPs to carry out the recent manager structure changes as approved by the Board.

16-88

It was moved by George Wood and seconded by Elizabeth Rogers that the Board approve the June 8, 2016 Investment Committee minutes. The motion carried 8 yes (Amaral, Basgal, Cain-Darnes, Gamble, Koppenhaver, Rogers, White, Wood), 0 no, and 0 abstentions.

Audit:

Trustee Donald White gave an oral report regarding today's Audit Committee meeting by first reporting on the informational item regarding Governmental Accounting Standards Board (GASB) Statement No. 68 Actuarial Valuation based on the December 31, 2015, Measurement Date for Employer Reporting as of June 30, 2016.

Trustee White stated that the Committee then reviewed and discussed the audited Schedules of Employer Allocations and the Schedule of Pension Amounts by Employer, with related notes, prepared by ACERA for the Participating Employers (Source: Addendum to the GASB Statement 67 Valuation as of December 31, 2015.)

16-89

It was moved by Donald White and seconded by Ophelia Basgal to adopt the audited Schedules of Employer Allocations and the Schedule of Pension Amounts by Employer, with related notes, prepared by ACERA for the Participating Employers (Source: Addendum to the GASB Statement 67 Valuation as of December 31, 2015.) The motion carried 8 yes (Amaral, Basgal, Cain-Darnes, Gamble, Koppenhaver, Rogers, White, Wood), 0 no, and 0 abstentions.

The Committee was presented with the Auditor’s Letter to Management (Letter), which outlined two *minor* systems issue discrepancies. ACERA responded to the Letter by stating that Staff is currently addressing these issues. It was noted that there is no material impact on ACERA’s Financial Statements and that the Audit Committee is working on drafting Policies that will reflect how Staff should address future discrepancies.

The Audit Committee also reviewed and/or discussed the progress report on the Internal Audit Work Plan, the completed audit, and new Internal Audit initiatives.

(Note: The formal written June 16, 2016 Audit Committee minutes will be included for approval on the July 21, 2016 Board Consent Calendar.)

OLD BUSINESS

- None

NEW BUSINESS

Joseph Fletcher, Chief Counsel Report

Chief Counsel Joseph Fletcher continued with his monthly Brown Act and Public Records Acts briefings by outlining two essential elements of the Board agenda process, which are: 1) notice and 2) the public’s opportunity to participate. Mr. Fletcher explained that Staff must post an agenda advising the public of a Committee/Board meeting and the matters being discussed at these meetings.

All regular meeting agendas must be posted 72 hours in advance. Special meetings require posting 24 hours in advance, along with written notice to all Board members and the media with requests for notice on file with ACERA. Emergency meetings may dispense with the 24 hour notice but reasonable efforts must be made to notify the media one (1) hour in advance, if possible. All agendas must be posted in a location freely accessible to members of the public during the applicable notice period, as well as posted on ACERA’s website.

Mr. Fletcher outlined the required elements regarding meeting agendas, explained where Committee/Board meetings could take place, and how these meetings are to be conducted, including grounds for removal of speakers that may disrupt a meeting.

Mr. Fletcher explained that the Committee/Board could “briefly” respond to, refer to Staff and/or place a non-agendized item on a future agenda, but could not discuss or take action on the non-agendized item UNLESS Staff/Board had no prior knowledge of the item subsequent to the posting of the agenda, the item requires immediate action by the Committee/Board and a supermajority of the Board votes to agendize the matter.

Mr. Fletcher explained that if a Board Trustee is participating in a public Committee/Board meeting via Conference Call, an agenda must also be posted at the location where the Board Trustee is located. It was noted that members of the public could also participate in a public Committee/Board meeting via Conference Call.

Mr. Fletcher stated that ACERA is in compliance with allowing public participation at its Committee/Board meetings and ensures that the public has access to all Committee/Board Packets for those meetings, except for those which are exempt from disclosure.

David Nelsen, Chief Executive Officer's Report

Mr. Nelsen introduced and welcomed ACERA's new Assistant Benefits Manager Jessica Huffman.

Mr. Nelsen presented his June 16, 2016 written CEO Report, which outlined the following:

The PRISM Manager recruitment to fill Latrena Walker's vacated position is in process.

Provided a sample of his status update of high level actions items assigned to Staff by the Board. These items will be included in all future CEO Reports.

Will attend the following Conferences:

- SACRS Public Pension Investment Management Program on July 17 – 20, 2016, in Berkeley, CA
- National Association of State Retirement Administrators (NASRA) on August 6 – 10, 2016, in Coeur d'Alene, ID

Provided an update on the development and presentation of ACERA's Core Values to Team Members. The final product is scheduled for completion in August 2016.

Met with each of the Participating Employers (PE) and both Retiree Organizations. ACERA and the PE will meet jointly on a quarterly basis to discuss issues, concerns, and provide updates on activities that could impact the shared work of the organizations. The first Joint meeting is scheduled sometime in August 2016.

CONFERENCE REPORTS

Trustee Darryl Walker attended the National Association of Securities Professionals (NASP) Conference on June 13th – 15th in Atlanta, GA wherein the discussion focused on *active vs. passive* and *public vs. private* investing and the current and future state of International and Emerging Markets.

Trustee Tarrell Gamble attended the following Conferences:

- NEA on May 25th – 26th in Half Moon Bay, CA.
- ConsortiumEAST on June 8th – 9th in New York, NY which focused on smaller and Emerging Managers; and
- JLL Partners on June 13th – 14th in New York, NY which is a corporate Buy-Out Firm.

Trustee Donald White also attended the ConsortiumEAST and NASP Conferences wherein he was presented with the following Awards:

- ConsortiumEAST: “*EM Legacy Award*” in recognition for his 30+ years of service and achievements in equal opportunities for minorities and women in the emerging financial industry.
- NASP: “*Pacesetter Award*” in recognition for his service and achievements in promoting equal opportunities and growth for minorities and women in the securities and investment industry locally and throughout the World!

Trustee White stated that due to the anticipated transition regarding retirement benefits, the various Conferences the Trustees have been attending over the years have been very valuable and educational. Trustee White expressed his appreciation to the Board, Staff, and the public for acknowledging his achievements regarding his awards and stated that he was just doing his job.

ANNOUNCEMENTS

Chair Ophelia Basgal stated that the “*EM Legacy*” and “*Pacesetter*” Awards summarizes the contributions Trustee White has made as a Board Trustee and is the legacy he will leave with ACERA.

PUBLIC INPUT

Chief Investment Officer Betty Tse announced that due to the late receipt of data feed from the Vendor the May *Investment Snapshot Report (Report)* was not available for today’s meeting. However, Board/Staff will be provided with a copy of the *Report* via email on June 17, 2016 and a copy will also be posted to the ACERA Website for public viewing.

BOARD INPUT

Chair Basgal inquired and was informed that ACERA’s Legislative Reports are provided on a quarterly basis at the Operations Committee meeting.

Chair Basgal also inquired about the status of ACERA’s compliance with Senate Bill 272. It was noted that Enterprise Software has been installed on ACERA’s Website, which is a software application or computer system that collects, stores, exchanges, and analyzes information that is 1) a multi-departmental system or a system that contains information collected about the public and 2) a system of record (serves as an original source of data within an agency).

ESTABLISHMENT OF THE NEXT MEETING

Thursday, July 21, 2016, at 2:00 p.m.

ADJOURNMENT INTO CLOSED EXECUTIVE SESSION:

The Board reviewed and took action of the Cheree Morgan matter prior to the Tonia Espinoza matter.

- A. Personal Matter pursuant to Gov. Code §54957 & 88 Ops. Cal. Atty. Gen. 16 – Disability Application of Cheree Morgan – Alameda County Probation Dept.
- B. Personal Matter pursuant to Gov. Code §54957 & 88 Ops. Cal. Atty. Gen. 16 – Disability Application of Tonia Espinoza – Alameda County Human Resources Dept.

RECONVENE INTO OPEN SESSION TO REPORT ON ACTION TAKEN IN CLOSED EXECUTIVE SESSION:

The Board reconvened into Open Session and announced the following:

16-90

It was moved by Liz Koppenhaver and seconded by Dale Amaral that the Board adopt the Hearing Officer’s Recommendation and grant Cheree Morgan’s application for an earlier Effective Date. The motion carried 7 yes (*Amaral, Basgal, Gamble, Koppenhaver, Rogers, White, Wood*), 0 no, and 0 abstentions.

16-91

It was moved by Liz Koppenhaver and seconded by Dale Amaral that the Board grant Tonia Espinoza’s application for a service connected disability retirement benefit. The motion carried 7 yes (*Amaral, Basgal, Gamble, Koppenhaver, Rogers, White, Wood*), 0 no, and 0 abstentions.

ADJOURNMENT

The meeting adjourned at approximately 3:15 p.m.

Respectfully Submitted,



David Nelsen
Chief Executive Officer

7/21/16

Date Adopted

**APPENDIX A
APPLICATION FOR SERVICE RETIREMENT**

ADAMS, Reba K.
Effective: 2/27/2016
Public Health

DODSON, Richard J.
Effective: 1/16/2016
Alameda Health System

AGARWAL, Ashok B.
Effective: 3/25/2016
Probation

EGLER, William
Effective: 3/1/2016
LARPD

AQUINO, Robert
Effective: 3/26/2016
Probation

FINN, James
Effective: 9/25/2015
Alameda Health System

BAGWELL, Shannon M.
Effective: 3/9/2016
Sheriff's Office

GALINDO, Michael
Effective: 3/12/2016
Social Services Agency

BLEAKLEY, Joyce M.
Effective: 2/19/2016
Behavioral Health Care Services

GARVIN, Dolores M.
Effective: 12/19/2015
Social Services Agency

BRADY, David R.
Effective: 3/5/2016
Sheriff's Office

GUADARRAMA, Jose
Effective: 11/21/2015
Alameda Health System

CALIMERIS, Paula R.
Effective: 10/23/2015
Alameda Health System

HAVENS, Joseph G.
Effective: 2/5/2016
Probation

CRAFT, Ellis M.
Effective: 1/1/2016
Sheriff's Office

HEBERT, Linda M.
Effective: 9/1/2015
Alameda Health System

DAILEY, Deborah
Effective: 1/5/2016
Social Services Agency

KOBY, Michael E.
Effective: 1/19/2016
Assessor - DEF

DE LEON, Edita M.
Effective: 3/13/2016
Alameda Health System

LATIF, Jumana S.
Effective: 2/20/2016
Sheriff's Office

DIXON, Yvonne L.
Effective: 12/19/2015
Social Services Agency

LEGASPI, Enrique P.
Effective: 2/3/2016
Assessor

**APPENDIX A
APPLICATION FOR SERVICE RETIREMENT**

LOCKARD, Albert C.
Effective: 1/1/2016
Probation

PECOT-SMITH, Angela M.
Effective: 10/13/2015
Information Technology

MALONEY, Ronald D.
Effective: 12/17/2015
Alameda Health System

PENLAND, Russell R.
Effective: 12/5/2015
Public Works Agency

MARTINEZ, David C.
Effective: 8/11/2015
Alameda Health System - DEF

PICCIONE, Anthony P.
Effective: 9/4/2015
Alameda Health System

MC CULLUM, Gail G.
Effective: 12/12/2015
Superior Court

PRASHER, Ashok K.
Effective: 9/30/2015
Alameda Health System

MC ELROY, Robert E.
Effective: 2/27/2016
Sheriff's Office

REINHART, Mardi I.
Effective: 4/1/2016
Alameda Health System - DEF

MC GOWAN, Maribeth
Effective: 1/1/2016
Social Services Agency - DEF

RICH, Paul
Effective: 3/26/2016
Behavioral Health Care Services

MICHLIG-FAHR, Toni J.
Effective: 3/14/2015
Sheriff's Office

SCHANTIN, Timothy A.
Effective: 1/23/2016
General Services Agency

MOORE, Marie E.
Effective: 9/26/2015
Social Services Agency

SELICH, Matthew E.
Effective: 1/1/2016
Sheriff's Office

NASSIRI, Haideh
Effective: 10/31/2014
Alameda Health System

SMITH, Denise A.
Effective: 3/26/2016
Social Services Agency

PALERMINI, Dean A.
Effective: 12/19/2015
Sheriff's Office

SULTANI, Atiqullah
Effective: 3/26/2016
Social Services Agency

PAPA, Mildred
Effective: 1/16/2016
Social Services Agency

TALLEY-DANSBY, Cernoria M.
Effective: 4/1/2016
Public Health

APPENDIX A
APPLICATION FOR SERVICE RETIREMENT

THONI, Janice L.
Effective: 8/8/2015
Public Works Agency

TO, Binh
Effective: 3/26/2016
Social Services Agency

WALKER, Latrena
Effective: 4/1/2016
ACERA

WILLIAMS, Debra K.
Effective: 3/26/2016
Behavioral Health Care Services

WILLIAMS, John P.
Effective: 3/12/2016
District Attorney

WULF, Dorothy A.
Effective: 3/26/2016
Sheriff's Office

YEUNG, Eddie
Effective: 3/26/2016
General Services Agency

**APPENDIX B
APPLICATION FOR DEFERRED RETIREMENT**

CHAVEZ, Laura
Superior Court
Effective: 3/29/2016

DUNCAN BUTLER, Debra S.
Behavioral Health Care Services
Effective: 2/25/2016

JUDY, Caroline
General Services Agency
Effective: 2/5/2016

KRAYMER, Lisa
Alameda Health System
Effective: 1/22/2016

LANCASTER, Stacy S.
Child Support Services
Effective: 2/26/2016

MC CANNON, Mark A.
District Attorney
Effective: 7/15/2013

MEDEROS, Austin J.
Sheriff's Office
Effective: 2/28/2014

MENDOZA-MARTENS, Nicole J.
Alameda Health System
Effective: 2/4/2016

MILLER, Charles E.
General Services Agency
Effective: 1/31/2014

WANG, Yamei
Alameda Health System
Effective: 1/22/2016

**APPENDIX B-1
APPLICATION FOR NON-VESTED DEFERRED**

DEGUZMAN, Victoria C.
Public Health
Effective: 4/8/2016

KATZ, Linda
Superior Court
Effective: 2/29/2016

MABRY, Charice N.
Sheriff's Office
Effective: 2/9/2016

NAGRA, Surinder
Child Support Services
Effective: 3/25/2016

O, Anne M.
County Administrator
Effective: 4/15/2016

OGDIE, Alyshia
Alameda Health System
Effective: 11/26/2014

WINSTON, Danica R.
Auditor-Controller
Effective: 2/17/2016

YEE, Lisa W.
Public Health
Effective: 1/15/2016

**APPENDIX C
APPLICATION FOR DEFERRED TRANSFER**

GOETHALS, Joseph M.

District Attorney

Effective: 8/28/2015

Transferred to: San Mateo County Employees' Retirement Association

LEDESMA, Bradley M.

Zone 7

Effective: 4/15/2016

Transferred to: East Bay Municipal Utilities District Retirement System

VOOS, Michelle A.

Health Care Services Agency

Effective: 2/7/2016

Transferred to: Contra Costa County Employees' Retirement Association

**APPENDIX D
LIST OF DECEASED MEMBERS**

BRADLEY, Bridget
4/29/2016
Alameda Health System

PATERSON, Mattalene
5/12/2016
General Services Agency

BRILEY, Patricia F.
5/24/2016
Social Services Agency

PECORARO, Philip
4/9/2016
Survivor of Mary C. Pecoraro

CATHCART, Charles
5/3/2016
Public Works Agency

PENA, Kathy J.
5/12/2016
Social Services Agency

COCHRAN, Jeanne P.
5/18/2016
Survivor of Herbert W. Cochran

SWEIGART, Cora V.
4/30/2016
Survivor of Paul R. Sweigart

MANGUM, Ernest G.
5/18/2016
General Services Agency - DEF

TOMPKINS, Ruth M.
4/30/2016
Probation

MC GHEE, Joyce Y.
5/22/2016
Alameda Health System

TOMPKINS, Ruth M.
4/30/2016
Survivor of Denny F. Tompkins

MYRICK, John
5/1/2016
Public Works Agency

TURNER, Barbara B.
4/4/2016
Survivor of James B. Turner

APPENDIX F
APPLICATION FOR DISABILITY RETIREMENT

Name: **Chiabotti, Stephen**
Type of Claim: Service Connected – Reciprocal Member

Staff's Recommendation:

Approve Staff's recommendation to grant Mr. Chiabotti a limited service connected disability retirement allowance from ACERA in conjunction with reciprocal agency California Public Employees' Retirement System (CalPERS) and in accordance with Section 31838.5.

Name: **Schwartz, Michael**
Type of Claim: Annual Review for SCD (Granted on 7/19/2012)

Staff's Recommendation:

Approve the recommendation contained in Dr. Wagner's report to continue the allowance for service connected disability and to waive future annual medical examinations and questionnaires at this time.

Name: **Shavies, Deborah**
Type of Claim: Annual Review for SCD (Granted on 6/20/2013)

Staff's Recommendation:

Approve the recommendation contained in Dr. Wagner's report to continue the allowance for service connected disability and to waive future annual medical examinations and questionnaires at this time.

Name: **Varilla-DeGuzman, Heidi**
Type of Claim: Annual Review for NSCD (Granted on 6/19/2014)

Staff's Recommendation:

Approve the recommendation contained in Dr. Wagner's report to continue the allowance for non-service connected disability and to require future annual medical examinations and questionnaires.
