



**ALAMEDA COUNTY EMPLOYEES' RETIREMENT ASSOCIATION
BOARD OF RETIREMENT
MINUTES**

Thursday, May 19, 2016

Chair Ophelia Basgal called the meeting to order at 2:00 p.m.

Trustees Present: Dale Amaral
Ophelia Basgal
Annette Cain-Darnes
Tarrell Gamble
Liz Koppenhaver
Elizabeth Rogers
Donald White
George Wood
David Safer (Alternate)
Darryl Walker (Alternate)

Trustees Excused: Keith Carson

Staff Present: Margo Allen, Fiscal Services Officer
Victoria Arruda, Human Resources Officer
Angela Bradford, Executive Secretary
Sandra Dueñas, Benefits Manager
Joseph Fletcher, Chief Counsel
Kathy Foster, Assistant Chief Executive Officer
Harsh Jadhav, Chief of Internal Audit
David Nelsen, Chief Executive Officer
Betty Tse, Chief Investment Officer

16-58

The minutes of the regular meeting of April 21, 2016, were accepted on a motion by Elizabeth Rogers, seconded by Liz Koppenhaver, and approved by a vote of 8 yes (*Amaral, Basgal, Cain-Darnes, Gamble, Koppenhaver, Rogers, White, Wood*), 0 no, and 0 abstention.

**CONSENT CALENDAR
REPORTS AND ACTION ITEMS**

APPLICATION FOR SERVICE RETIREMENT

Appendix A

APPLICATION FOR RETIREMENT, DEFERRED

*Appendix B
Appendix B-1*

APPLICATION FOR DEFERRED TRANSFER

Appendix C

LIST OF DECEASED MEMBERS

Appendix D

**REQUESTS FOR 130 BI-WEEKLY PAYMENTS TO RE-DEPOSIT
CONTRIBUTIONS AND GAIN CREDIT**

Appendix E

APPLICATION FOR DISABILITY RETIREMENT –NON CONTESTED ITEMS:

Appendix F

**APPLICATION FOR DISABILITY RETIREMENT HEARING OFFICER
RECOMMENDATIONS**

Appendix G

APPROVAL COMMITTEE MINUTES

- *April 21, 2016, Actuarial Committee Minutes*

MISCELLANEOUS MATTERS

- *Felony Conviction – Forfeiture of Benefits - Government Code Section 7522.72*

It was moved by Annette Cain-Darnes, seconded by Liz Koppenhaver, and approved by a vote of 7 yes (*Basgal, Cain-Darnes, Gamble, Koppenhaver, Rogers, White, Wood*), (*Amaral recused*), 0 no, 0 abstention, that the following resolution is adopted:

16-59

BE IT RESOLVED BY THIS BOARD that the Consent Calendar is approved as presented.

REGULAR CALENDAR – REPORTS AND ACTION ITEMS
DISABILITIES, CURRENT AND CONTINUING RECOMMENDATIONS, AND
MOTIONS

- None

COMMITTEE REPORTS, RECOMMENDATIONS AND MOTIONS

*Note: Per Committee Chairs, copies of the Committee meeting minutes were available for attendees. All **April and May** Committee meeting minutes are posted on the ACERA website (www.acera.org) after approval at the **May 19, 2016**, Board meeting. This month's Committee reports were presented in the following order:*

Operations:

Dale Amaral reported that the Operations Committee met on May 4, 2016, at 9:32 a.m. and highlighted the following:

Staff presented a request to adopt the revised policy for recovery of overpayment of benefits. Following a review and discussion of the policy, Trustees directed Staff to make three additional minor changes.

16-60

It was moved by Dale Amaral and seconded by George Wood that the Board adopt the revised Recovery of Overpayment of Member Benefits & Underpayment of Member Contributions Policy with the recommended changes. The motion carried 8 yes (Amaral, Basgal, Cain-Darnes, Gamble, Koppenhaver, Rogers, White, Wood), 0 no, and 0 abstentions.

Staff presented the quarterly financial statement. For the period ending March 31, 2016, the net assets held in trust for pension benefits total \$6,613,770,721. The fiduciary net position held in trust compared to the same time period in 2015 decreased by \$268 million. From January 1, 2016, to March 31, 2016, the change in fiduciary net position declined by approximately \$27 million. Trustees directed staff to link financial statement to cash draw down in future reports.

Staff presented the year-to-date operating expenses – budget vs. actual. As of March 31, 2016, actual expenses were \$116,303 under budget. Budget surpluses noted were due to Staffing (\$47K under budget) and Member Services (\$41K under budget). Budget overages were impacted by Professional Fees (\$31K over budget) and Board of Retirement expenses (\$13K over budget). Staffing detail was provided, accounting for a net surplus of \$115K for 12 unfilled budgeted positions as of March 31, 2016.

Staff presented the cash forecast report for April 2016 – May 2017. With the exception of three-pay-period months, the average monthly negative cash position for the period is -\$16,182,789.

Staff presented the Board Member Conference Attendance Report for the 1st quarter 2016.

Staff presented the Senior Manager Travel Expense Report for the 1st quarter 2016.

Staff presented information regarding the Web Member Services View of Account Balance Error.

Staff reported on the 1st quarter position vacancies that were approved in the 2016 budget.

Staff reported on the call center service levels during the 1st quarter.

ADJOURNMENT INTO CLOSED EXECUTIVE SESSION

Pursuant to Government Code §54956.9(a) the meeting adjourned at 10:49 a.m. into Closed Executive Session to confer with legal counsel regarding pending litigation in the matter of:

- (1) Alameda County Employees' Retirement Association v. BP PLC, Case No. 4:12-CV-1256;
- (2) Trustees of the Mineworkers' Pension Scheme Limited and Others v The Royal Bank of Scotland Group plc – HC12D01192;
- (3) Hom v. Vale S.A., et al., US District Court Case No. 1:15-cv-09539 (S.D.N.Y.); and
- (4) Alameda County Employees' Retirement Association v. Volkswagen AG et al.

RETURN TO OPEN SESSION

The Committee reconvened into open session at 11:00 a.m. and reported that no action was taken.

16-61

It was moved by Dale Amaral and seconded by Annette Cain-Darnes that the Board approve the May 4, 2016 Operations Committee minutes. The motion carried 8 yes (*Amaral, Basgal, Cain-Darnes, Gamble, Koppenhaver, Rogers, White, Wood*), 0 no, and 0 abstentions.

Retirees:

Liz Koppenhaver reported that the Retirees Committee met on May 4, 2016, at 11:10 a.m. and highlighted the following:

Staff presented information regarding the 401(h) contributions for the 2016-2017 fiscal year. The projected contribution that will be required is \$42,901,000. After adjusting this amount by the estimated balance remaining in the 401(h) account for each Participating Employer, the net contribution amount is projected to be \$35,925,403.

Staff reported that ACERA will collaborate with Kaiser Permanente to enhance the information provided to Medicare eligible members at the Medicare Transition Seminar presented by ACERA Staff.

16-62

It was moved by Liz Koppenhaver and seconded by Annette Cain-Darnes that after contributions are made to the 401(h) accounts by the respective Participating Employers, ACERA, in accordance with the CERL, treat an equal amount of Supplemental Retiree Benefit Reserve assets as employer contributions for pensions and transfer the amount equal to the pro rata share of the 401(h) contributions for the 2016-2017 fiscal year for each Participating Employer. The exact amount will be adjusted by the balance remaining in each of the 401(h) accounts and is contingent upon receipt by ACERA of an authorizing resolution by the Participating Employers' governing bodies. The motion carried 8 yes (*Amaral, Basgal, Cain-Darnes, Gamble, Koppenhaver, Rogers, White, Wood*), 0 no, and 0 abstentions.

Staff provided a letter from the County of Alameda stating that the final Implicit Subsidy amount for 2015 is \$6,021,451. This amount was verified by Keenan & Associates, ACERA's Benefits Consultant.

16-63

It was moved by Liz Koppenhaver and seconded by Dale Amaral that the Board authorize Staff to transfer \$6,021,451 from the Supplemental Retiree Benefit Reserve account to the County Advance Reserve to be amortized over 20 years as the Implicit Subsidy payment for plan year 2015. The motion carried 8 yes (*Amaral, Basgal, Cain-Darnes, Gamble, Koppenhaver, Rogers, White, Wood*), 0 no, and 0 abstentions.

Staff recommended that ACERA state its intent to fund the Implicit Subsidy Program for plan year 2017. The Implicit Subsidy cost for plan year 2016 is estimated by the County of Alameda (County) to be \$8,865,275. The estimated cost of the Implicit Subsidy for plan year 2017 will not be known until the County has completed its medical plan contract negotiations. Due to the significant increase in cost compared to previous years, Keenan & Associates, ACERA's Benefits Consultant, provided a history and an analysis of the annual costs for the four-year period 2011 through 2015, to explain the components impacting the calculation of the estimated cost. Trustees directed Staff to monitor the trends on a more frequent basis and report significant changes to the Committee as appropriate.

16-64

It was moved by Liz Koppenhaver and seconded by Annette Cain-Darnes that the Board adopts a Statement of Intent to continue the Implicit Subsidy Program for health plan year 2017, following a determination by ACERA at the end of plan year 2017 that the amount is not greater than the actual retiree Implicit Subsidy. The motion carried 8 yes (*Amaral, Basgal, Cain-Darnes, Gamble, Koppenhaver, Rogers, White, Wood*), 0 no, and 0 abstentions.

Segal Consulting, ACERA's Actuary, provided a preliminary report of the Supplemental Retiree Benefit Reserve (SRBR) financial status, which indicates that the terminal year of Other Post-Employment Benefits (OPEB) is projected to be 2038 with full benefits paid through 2037, for a total of 22 full years and one partial year. The terminal year of the SRBR for non-OPEB is projected to be 2035, for a total of 19 full years and one partial year. The one year increased projection from the 2014 report for the OPEB assets is due mainly to the greater than the expected rate of return on the SRBR assets, offset somewhat by the larger amount of the Implicit Subsidy than was expected.

OneExchange provided information on the 2015 enrollment and call statistics; Health Reimbursement Arrangement (HRA) account activity; claims information; service levels and satisfaction survey results; and OneExchange's internal enhancements. Trustees directed Staff to provide additional information regarding the offshoring of the HRA claims processing, and the timeframe of OneExchange's planned operational improvements at a future Committee meeting. Staff also provided information on retirees' 2015 HRA account balances categorized by years of service contribution levels and cost analysis as of March 31, 2016

Staff reported that the medical plan renewal requests to the County of Alameda included inquiries related to disease management/wellness, performance guarantees, and prescription drugs.

Staff reported that the initiative to provide Direct Deposit Pay Advice Statements via Web Member Services was successfully completed, and that new payees will not receive a paper version of their Advice Statement unless they elect to receive a paper Statement.

There were no relevant benefit issues affecting ACERA retirees or ACERA Staff at this time.

16-65

It was moved by Liz Koppenhaver and seconded by Annette Cain-Darnes that the Board approve the May 4, 2016 Retirees Committee minutes. The motion carried 8 yes (Amaral, Basgal, Cain-Darnes, Gamble, Koppenhaver, Rogers, White, Wood), 0 no, and 0 abstentions.

Investment:

George Wood reported that the Investment Committee met on May 18, 2016 at 9:37 a.m. and highlighted the following:

Staff has concluded that there has been a lack of consistency in selecting performing managers, especially net of fees. To introduce more control and consistency, Staff concurs with Verus recommendation to move to a more passive approach, especially in the large cap international equities, which will provide more consistency with large cap international market performance net of fees.

Representatives from Verus presented and recommended to members of the Investment Committee an alternative Manager Structure for the International Equity asset class. With Staff's concurrence, Alternative 2 was recommended to the Committee, specifically (1) establish a 40% target to Core Non-U.S. Equity Index from 0% exposure (passive management); (2) increase Core Non-U.S. Equity (large cap quantitative) from 20% to 40%; and, (3) add a 10% allocation for a newly established Emerging Markets sub-asset class with a dedicated investment manager(s). This eliminates active Core Non-U.S. Equity growth and value styles.

The basis of the recommendation is to (1) reduce active risk (i.e., volatility), (2) minimize style drift risk, and (3) analyze for a more cost-effective solution for net performance.

A representative from ACERA's large cap Non-U.S. Equity growth manager (Capital Group) spoke on behalf of the firm's active management product and suggested a reduced asset management fee plus a performance-based fee as an alternative.

After discussion, Ms. Liz Koppenhaver moved, seconded by Mr. Dale Amaral, to recommend to the Board to adopt a new International Equity Manager Structure. The motion was carried with 5 yes (Amaral, Basgal, Gamble, Koppenhaver, and Wood), 1 no (Walker), and 0 abstention.

Representative from ACERA's large cap Non-U.S. Equity growth manager (Capital Group) requested that the Board postpone Staff and Verus' recommendation to adopt a passive management structure or Alternative 2 (Specifically, to establish a 40% target to Core Non-U.S. Equity Index from 0% exposure (passive management); (2) increase Core Non-U.S. Equity (large cap quantitative) from 20% to 40%; and, (3) add a 10% allocation for a newly established Emerging Markets sub-asset class with a dedicated investment manager(s).) Capital Group's Representative explained his reasoning for requesting the postponement and outlined the firm's plan for a new ACERA fee schedule going forward. Chief Investment Officer Betty Tse explained that Capital Group has been in discussions for a reduction in ACERA's fees from 36 to 12 basis points and assured the Board that there would be no negative impact regarding the implementation process if the Board were to postpone its vote. During the postponement, Capital Group will discuss/negotiate the following three isolated areas: *1) analysis of the fees (gross and net), 2) the potential impact on returns going forward, and 3) any isolated risks.* After discussion, the Board made the following motion:

16-66

It was moved by George Wood and seconded by Dale Amaral that the Board postpone the adoption of a new International Equity Manager Structure to the June 8, 2016 Investment Committee meeting. The motion carried 8 yes (*Amaral, Basgal, Cain-Darnes, Gamble, Koppenhaver, Rogers, White, Wood*), 0 no, and 0 abstentions.

Staff recommended updates to the ACERA Proxy Voting Guidelines (Guidelines) to reflect current proxy voting issues, aligning the Guidelines with the ISS Benchmark Proxy Voting Policy. Staff described enhancements to sections on corporate governance, election of directors, and capital structure.

16-67

It was moved by George Wood and seconded by Dale Amaral to adopt an Amended ACERA Proxy Voting Guidelines and Procedures as provided by Staff. The motion carried 8 yes (*Amaral, Basgal, Cain-Darnes, Gamble, Koppenhaver, Rogers, White, Wood*), 0 no, and 0 abstentions.

Representative from Callan and Staff gave a brief explanation regarding Jamestown PPF's distribution of property sale proceeds and its requirements to reinvest such proceeds as a new investment. This distribution process requires Board approval. Staff asked the Committee to: (1) approve this specific reinvestment of proceeds.

16-68

It was moved by George Wood and seconded by Tarrell Gamble to approve the Reinvestment of the proceeds from Property Sales by Jamestown Premiere Property Fund both currently and in the future. The motion carried 8 yes (*Amaral, Basgal, Cain-Darnes, Gamble, Koppenhaver, Rogers, White, Wood*), 0 no, and 0 abstentions.

The representative of Kennedy Capital management discussed the firm's history, ownership structure, investment team, the anticipated changes in the management of ACERA's portfolio, and the anticipated retirement of its President & CEO.

The representative also discussed the performance of the portfolio, its philosophy and strategy, the current market environment, the portfolio's positioning, and likely near-term changes in the portfolio's composition.

As of March 31, 2016, Kennedy's 1-year gross return of -8.04% underperformed its gross benchmark (Russell 2000 Value +250 bps) return of -5.38% by 266 basis points. Kennedy's 3-year gross return of 9.84% outperformed its benchmark return of 8.37% by 147 basis points.

Staff expressed disappointment over Pzena's poor performance, and reported that staff and Verus would be discussing different options about this investment style in the portfolio with the Committee.

The representative from Pzena Investment Management, LLC discussed the firm's long-term, deep value investment philosophy, investment strategy, portfolio characteristics, and performance. The review found Pzena to be in compliance with ACERA's reporting requirements; however, staff noted the continuance of poor performance of the manager who remains on ACERA's Watchlist and Probation status.

As of March 31, 2016, Pzena's 1-year gross return of -4.9% underperformed its benchmark (Russell 1000 Value +200 bps) return of 0.5% by 540 basis points. Pzena's 3-year gross return of 8.9% underperformed its benchmark return of 11.4% by 250 basis points.

16-69

It was moved by George Wood and seconded by Liz Koppenhaver that the Board approve the May 18, 2016 Investment Committee minutes, excluding adoption of a New International Equity Manager Structure. The motion carried 8 yes (*Amaral, Basgal, Cain-Darnes, Gamble, Koppenhaver, Rogers, White, Wood*), 0 no, and 0 abstentions.

Audit:

Trustee Donald White gave an oral report regarding today's Audit Committee meeting wherein the Committee reviewed and discussed the December 31, 2015, audited Financial Statements and Independent Auditor's Report.

16-70

It was moved by Donald White and seconded by Annette Cain-Darnes to accept and file the December 31, 2015, audited Financial Statements and Independent Auditor's Report. The motion carried 8 yes (*Amaral, Basgal, Cain-Darnes, Gamble, Koppenhaver, Rogers, White, Wood*), 0 no, and 0 abstentions.

Trustee White stated that the Committee had an in-depth discussion regarding the *1) Governmental Accounting Standards Board (GASB) Statement No. 67 Actuarial Valuation of December 31, 2015 and Addendum* and *2) time-schedule for GASB Statement No. 74 & 75 reporting requirement implementation*. It was noted that the implementation methodology for GASB Statement No. 74 and 75 should be adopted by September 2016. The Committee also reviewed and discussed the following informational items: *1) progress report regarding the Internal Audit Work Plan; 2) completed audits; and 3) new Internal Audit Initiatives*. Staff will prepare its Management Letter for review and discussion at the June 16, 2016 Audit Committee meeting, *if necessary*.

(Note: The formal written May 19, 2016 Audit Committee minutes will be included for approval on the June 16, 2016 Board Consent Calendar.)

Actuarial:

Trustee Elizabeth Rogers gave an oral report reminding the Board that the request to extend the Segal Consulting contract through December 31, 2017 was inadvertently omitted from last month's Actuarial Committee agenda. Therefore, the request for extension was presented to the Board for consideration at today's meeting.

16-71

It was moved by Elizabeth Rogers and seconded by Liz Koppenhaver to extend the Segal Consulting contract through December 31, 2017. The motion carried 8 yes (Amaral, Basgal, Cain-Darnes, Gamble, Koppenhaver, Rogers, White, Wood), 0 no, and 0 abstentions.

Trustee Rogers also reminded the Board that it had reviewed the final *Actuarial Valuation and Review as of December 31, 2015 (Valuation)* as an informational item at last month's Actuarial Committee meeting. Specifically, the funding ratio, employer and member contribution rates, and unfunded actuarial accrued liability. ACERA conducted a Participating Employers meeting on April 28, 2016 to review, discuss, and to answer any questions/concerns regarding the *Valuation*. It was noted that there were no discrepancies regarding the *Valuation*.

16-72

It was moved by Elizabeth Rogers and seconded by Liz Koppenhaver to adopt the Actuarial Valuation and Review as of December 31, 2015 including the employer and employee contribution rates. The motion carried 8 yes (Amaral, Basgal, Cain-Darnes, Gamble, Koppenhaver, Rogers, White, Wood), 0 no, and 0 abstentions.

(Note: The formal written May 19, 2016 Actuarial Committee minutes will be included for approval on the June 16, 2016 Board Consent Calendar.)

OLD BUSINESS

- None.

NEW BUSINESS

Chief Counsel Report

Chief Counsel Joseph Fletcher presented the first of a series of monthly training sessions on transparency laws such as the *Ralph M. Brown Act* and the *Public Records Acts*.

Mr. Fletcher discussed Government Code Section 54952 which defines a legislative body. Under the *Brown Act*, all meetings of legislative bodies must be publicly noticed and open. For ACERA, the Board of Retirement and all of its standing committees are deemed to be legislative bodies

Mr. Fletcher also discussed GC §54952.2 which defines a meeting of a legislative body. In essence, Section 54952.2 provides that any congregation of a majority of the members of a legislative body to hear, discuss, deliberate, or take action on any item that is within the subject matter jurisdiction is a meeting. Mr. Fletcher emphasized that the use of a series of communications among the members of a legislative body could constitute a meeting and therefore, Board Members need to be extremely careful about discussing and/or exchanging information via phone conversations, emails because that could also constitute a meeting.

Mr. Fletcher presented three hypothetical Case Studies to show how the Board could avoid violating the *Brown Act* when meeting to hear, brief, discuss, deliberate, and/or exchange information regarding ACERA business related matters. Mr. Fletcher advised the Board that if and when a member of the public contacts them directly with questions/issues, to encourage the member to attend a Board meeting to address those issues and/or concerns and to ensure the Board is in compliance with the *Brown Act*.

David Nelsen, Chief Executive Officer's Oral Report

Chief Executive Officer David Nelsen provided an update of the proxy voting at the May 2016 SACRS Business meeting, specifically, the vote regarding AB 1853 (SACRS Sponsored legislation concerning Special District Status). Mr. Nelsen reminded the Board that he presented and the Board approved a specific legislative proposal in April, and then provided them with a follow-up email stating that the legislation had been changed *after* the Board voted to support the initial bill draft. The substance of the bill changed again immediately prior to the SACRS meeting. Due to those changes, Mr. Nelsen stated that he felt it would not be appropriate to vote on behalf of the Board since the Board had not had an opportunity to evaluate the current structure of the legislation. Therefore, Mr. Nelsen stepped out of the room during the vote in this issue. Trustees Basgal and Koppenhaver concurred with Mr. Nelsen's decision not to vote, as they stated the Legislation was very convoluted. It was noted that the amended legislation did pass in its present form.

TRUSTEE/PUBLIC INPUT

Board Chair Ophelia Basgal expressed her appreciation to ACERA Associate Counsel Susan Weiss for her assistance in preparing materials/information regarding *Private Equity and Transparency* for Chair Basgal’s use as the Moderator on the SACRS IPLA Panel. Chair Basgal announced and congratulated Chief Investment Officer Betty Tse for being recognized by *Trusted Insight Magazine* as one of the top 30 Women CIO’s in the Country and for making the Cover Page!

CONFERENCE REPORTS

Trustee White attended the NCPERS Conference, as it provides a National perspective on various issues and if and how they may impact ACERA. Trustee White stated that he enjoys the debates surrounding new legislation and provided information about the debate regarding the *Federal Regulatory Update*, which included a proposed *Annuity Accumulation Plan* for public employees. This issue was presented by the author of the proposed legislation to the *Internal Revenue Service* and legislative body to determine whether or not the *Plan* should be changed at the National level. Trustee White outlined *The Windfall Elimination Position*, which is a proposal to reform the *Federal Tax Code* and legislation to repeal the Social Security Benefit Offset. There were also debates regarding the hidden cost of indexing (*Passive vs. Active Management Structure* – can we obtain the same results without paying additional fees) and Hybrid Plans (*Defined Benefit vs. Defined Contributions*).

ESTABLISHMENT OF THE NEXT MEETING

Thursday, June 16, 2016, at 2:00 p.m.

ADJOURNMENT

The meeting adjourned at approximately 3:22 p.m.

Respectfully Submitted,



David Nelsen
Chief Executive Officer

6/16/16

Date Adopted

APPENDIX A
APPLICATION FOR SERVICE RETIREMENT

ABEL, David E.
Effective: 10/5/2015
Human Resource Services - DEF

PHOON, Peter P.
Effective: 12/31/2015
Public Works Agency - DEF

ALLISON, Barbara A.
Effective: 11/21/2015
Social Services Agency

RADOSTA, Carla M.
Effective: 9/18/2015
Community Development Agency

ARTEAGA-SOTO, Manuel
Effective: 6/10/2015
Alameda Health System

RASCH, Margaret S.
Effective: 1/4/2016
DRO - DEF

BRANCH, Teresa A.
Effective: 1/11/2016
Behavioral Health Care Services

RILEY, William E.
Effective: 1/1/2016
Public Works Agency

DELGADILLO, Rafael
Effective: 1/1/2016
Sheriff's Office - DEF

SHICK, Doreen, E.
Effective: 11/7/2015
Superior Court

HAGAR, Randall P.
Effective: 3/7/2016
Information Technology - DEF

SIEGEL, Kathryn F.
Effective: 2/27/2016
Public Defender

HENNINGER, Tona M.
Effective: 12/1/2016
Community Development Agency

SLATER, Linda J.
Effective: 1/30/2016
Social Services Agency

LACAP, Virgilio G.
Effective: 1/1/2016
Probation

SORLIE, Bonnie L.
Effective: 1/30/2016
Social Services Agency

LEE, Maureen
Effective: 10/2/2015
Sheriff's Office - DEF

THEOBALD, Michele
Effective: 3/21/2016
DRO - DEF

MARTINEZ, Manuel N.
Effective: 1/2/2016
Sheriff's Office - DEF

ULVANG, Andrew R.
Effective: 12/19/2015
Behavioral Health Care Services

NAVARRO, Marilou
Effective: 4/1/2015
Alameda Health System

VALENZUELA, Edward D.
Effective: 3/4/2016
Information Technology - DEF

APPENDIX A
APPLICATION FOR SERVICE RETIREMENT

WILLIAMS, Eloisa
Effective: 12/4/2015
Public Health - DEF

WONG, William L.
Effective: 2/27/2016
Public Defender

WU, Julie C.
Effective: 11/28/2015
Assessor - DEF

APPENDIX B
APPLICATION FOR DEFERRED RETIREMENT

ACOSTA, Phillip T.
Superior Court
Effective: 12/6/2013

BEALLO, Lindsey G.
County Counsel
Effective: 3/15/2013

CAMPBELL, Almaceo
Alameda Health System
Effective: 1/5/2016

DANEHY OAKES, Adrienne T.
Social Services Agency
Effective: 2/2/2016

FONTENETTE, Benita M.
Library
Effective: 2/4/2016

**APPENDIX B-1
APPLICATION FOR NON-VESTED DEFERRED**

BRIGGS, Andrew J.
Public Defender
Effective: 4/15/2016

BYRNE, Rachael J.
Social Services Agency
Effective: 2/16/2016

DIAL, Lazandra M.
Social Services Agency
Effective: 3/17/2016

APPENDIX C
APPLICATION FOR DEFERRED TRANSFER

LEECH, Amy L.
Health Care Services Agency
Effective: 3/25/2016
Transferred to: Contra Costa County Employees' Retirement Association

APPENDIX D
LIST OF DECEASED MEMBERS

COOPER, Tom
4/7/2016
Sheriff's Office

GIBSON, George O.
4/1/2016
Health Care Services Agency

CROWHURST, Betty M.
3/26/2016
Alameda Health System

GORDON, Eleanor J.
4/8/2016
Alameda Health System

DAVIS, Willie Mae
4/5/2016
Alameda Health System

HUGHES, William B.
4/26/2016
Survivor of Antoinette P. Hughes

EDWARDS, Constance E.
4/22/2016
Alameda Health System - DEF

ISOM, James F.
3/30/2016
Social Services Agency

EDWARDS, Dorothy J.
3/28/2016
Social Services Agency

JACOBS, Thomas A.
4/16/2016
Information Technology

FLAGG, David R.
3/27/2016
Probation

JIMISON, Thomas
4/3/2016
Probation

FRAZIEAR, Thelma
4/4/2016
Health Care Services Agency - DEF

JOHNIGAN, Mary L.
3/14/2016
Alameda Health System

FUENTES, Maria I.
4/18/2016
Alameda Health System

JONAS, Arnold B.
4/5/2016
Community Development Agency

**APPENDIX D
LIST OF DECEASED MEMBERS**

JONES, Doreen L.
4/24/2016
Health Care Services Agency

RODRIGUES, Donald W.
4/5/2016
Social Services Agency

KENNEDY, Nancy P.
3/29/2016
Survivor of William D. Kennedy

SELLECK, Harold V.
4/1/2016
Public Defender

LARSON, Judy D.
4/8/2016
Alameda Health System

SHARER, Jewel Y.
4/15/2016
Public Defender

LEVIAS, Addie M.
4/6/2016
Survivor of Percy Levias

SMITH, Virginia
4/3/2016
Survivor of Anthony C. Smith

MC CRACKEN JR, Fred B.
4/3/2016
Social Services Agency

TAVARES, Rita E.
4/13/2016
Social Services Agency

MILLER, Dennis W.
3/25/2016
Fire Department

TROY, Eugene S.
4/4/2016
Superior Court

MILLER, John H.
4/14/2016
Public Works Agency

TUGWELL, Madie L.
3/16/2016
Alameda Health System

PAYNE, Shirley A.
4/3/2016
Alameda Health System

WASHINGTON-PALMER, Norma C.
3/11/2016
Alameda Health System

RAMOS, Encarnacion M.
4/7/2016
Health Care Services Agency

WILSON, Ronald B.
4/24/2016
Alameda Health System - DEF

REPOLDO, Marina
4/18/2016
Social Services Agency

WINN, John P.
4/24/2016
Public Health

APPENDIX F
APPLICATION FOR DISABILITY RETIREMENT

Name: **Chicoine, Edmond**

Type of Claim: Service Connected

Staff's Recommendation:

Approve the recommendation contained in Dr. Wagner's report to grant Mr. Chicoine a service connected disability and to not require future annual medical examinations and questionnaires at this time.

Based on Dr. Wagner's and Staff's review and determination of Mr. Chicoine's ability to determine the permanency of his incapacity, to deny Mr. Chicoine's request for an earlier effective date.

Name: **Garcia, David**

Type of Claim: Annual Review for SCD (Granted on 12/18/2014)

Staff's Recommendation:

Approve the recommendation contained in Dr. Wagner's report to continue the allowance for service connected disability and to not require future annual medical examinations and questionnaires at this time.

Name: **Sullivan, Sarah**

Type of Claim: Service Connected

Staff's Recommendation:

Approve the recommendation contained in Dr. Wagner's report to grant Ms. Sullivan a service connected disability and to require future annual medical examinations and questionnaires.

**APPENDIX F
APPLICATION FOR DISABILITY RETIREMENT**

Name: **Wilson, Alvin**
Type of Claim: **Service Connected**

Staff's Recommendation:

Approve the recommendation contained in Dr. Wagner's report to grant Mr. Wilson a service connected disability based on heart presumption established under Government Code §31720.5 and to not require future annual medical examinations and questionnaires at this time.

Based on Dr. Wagner's and Staff's review and determination of Mr. Wilson's ability to determine the permanency of his incapacity, to deny Mr. Wilson's request for an earlier effective date.

APPENDIX I
MISCELLENOUS MATTERS

- Felony Conviction – Forfeiture of Benefits – Government Code Section 7522.72