



ALAMEDA COUNTY EMPLOYEES' RETIREMENT ASSOCIATION  
*BOARD OF RETIREMENT*  
MINUTES

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Thursday, January 21, 2016

Chair Elizabeth Rogers called the meeting to order at 2:03 p.m.

Trustees Present: Dale Amaral  
Ophelia Basgal  
Annette Cain-Darnes  
Keith Carson  
Tarrell Gamble  
Liz Koppenhaver  
Elizabeth Rogers  
Donald White  
George Wood  
David Safer (*Alternate*)  
Darryl Walker (*Alternate*)

Staff Present: Margo Allen, Fiscal Services Officer  
Victoria Arruda, Human Resources Officer  
Angela Bradford, Executive Secretary  
Sandra Dueñas, Benefits Manager  
Joseph Fletcher, Chief Counsel  
Kathy Foster, Interim Chief Executive Officer  
Harsh Jadhav, Chief of Internal Audit  
Betty Tse, Chief Investment Officer  
Latrena Walker, Project and Information Services Manager

Chair Elizabeth Rogers opened the meeting with the annual election of Board Officers for 2016. The nominations were held in the following order.

**16-01**

**It was moved by Annette Cain-Darnes and seconded by George Wood to nominate Ophelia Basgal as Chair of the Board of Retirement for 2016.**

There were no further nominations. The nominations were closed.

**Ophelia Basgal was elected as Chair of the Board of Retirement by a vote of 7 yes (*Amaral, Cain-Darnes, Gamble Koppenhaver, Rogers, White, Wood*), 0 no, and 0 abstentions.**

**16-02**

**It was moved by George Wood and seconded by Liz Koppenhaver to nominate Dale Amaral as First Vice-Chair of the Board of Retirement for 2016.**

There were no further nominations. The nominations were closed.

**Dale Amaral was elected as First Vice-Chair of the Board of Retirement by a vote of 7 yes (*Amaral, Cain-Darnes, Gamble, Koppenhaver, Rogers, White, Wood*), 0 no, and 0 abstentions.**

**Elizabeth Rogers turned the meeting over to the First Vice-Chair, Dale Amaral, wherein he chaired the remainder of the meeting.**

**16-03**

**It was moved by Annette Cain-Darnes and seconded by Liz Koppenhaver to nominate Tarrell Gamble as Second Vice-Chair of the Board of Retirement for 2016.**

There were no further nominations. The nominations were closed.

**Tarrell Gamble was elected as Second Vice-Chair of the Board of Retirement by a vote of 7 yes (*Amaral, Cain-Darnes, Gamble, Koppenhaver, Rogers, White, Wood*), 0 no, and 0 abstentions.**

**16-04**

**The minutes of the regular meeting of December 17, 2015 were accepted on a motion by Elizabeth Rogers, seconded by Liz Koppenhaver, and approved by a vote of 7 yes (*Amaral, Cain-Darnes, Gamble, Koppenhaver, Rogers, White, Wood*), 0 no, and 0 abstention.**

**16-05**

**The minutes of the special meeting of December 17, 2015 were accepted, with one modification, on a motion by Elizabeth Rogers, seconded by Liz Koppenhaver, and approved by a vote of 7 yes (*Amaral, Cain-Darnes, Gamble, Koppenhaver, Rogers, White, Wood*), 0 no, and 0 abstention.**

**CONSENT CALENDAR  
REPORTS AND ACTION ITEMS**

**APPLICATION FOR SERVICE RETIREMENT**

*Appendix A*

**APPLICATION FOR RETIREMENT, DEFERRED**

*Appendix B  
Appendix B-1*

**APPLICATION FOR DEFERRED TRANSFER**

*Appendix C*

**LIST OF DECEASED MEMBERS**

*Appendix D*

**REQUESTS FOR 130 BI-WEEKLY PAYMENTS TO RE-DEPOSIT  
CONTRIBUTIONS AND GAIN CREDIT**

*Appendix E*

**APPLICATION FOR DISABILITY RETIREMENT – NON CONTESTED ITEMS:**

*Appendix F*

**APPLICATION FOR DISABILITY RETIREMENT – HEARING OFFICER  
RECOMMENDATIONS**

*Appendix G*

**APPROVAL COMMITTEE MINUTES**

*None*

It was moved by Elizabeth Rogers, seconded by Annette Cain-Darnes and approved by a vote of 6 yes (*Cain-Darnes, Gamble, Koppenhaver, Rogers, White, Wood*), 1 recusal (*Amaral*) 0 no, 0 abstentions, that the following resolution is adopted:

**16-06**

**BE IT RESOLVED BY THIS BOARD** that the Consent Calendar is approved as presented.

**REGULAR CALENDAR – REPORTS AND ACTION ITEMS**  
**DISABILITIES, CURRENT AND CONTINUING RECOMMENDATIONS AND**  
**MOTIONS**

None.

**COMMITTEE REPORTS, RECOMMENDATIONS AND MOTIONS**

*Note: Per Committee Chairs, copies of the Committee meeting minutes were available for attendees. All **January 2016** Committee meeting minutes are posted on the ACERA website ([www.acera.org](http://www.acera.org)) after approval at the **January 21, 2016** Board meeting. This month's Committee reports were presented in the following order:*

***Operations:***

Dale Amaral reported that the Operations Committee met on January 6, 2016, at 9:35 a.m. and highlighted the following:

Staff presented a request from Alameda Health System to review a new pay item, Pay Code 109 – Sitter Pay.

After staff's evaluation it was determined since Pay Code 109 applies to more than one member in this classification, it will be considered pensionable compensation for members (PEPRA members) with entry dates on or after January 1, 2013 based on Government Code Section 7522.34, and compensation earnable for members (legacy members) with entry dates prior to January 1, 2013, based on Government Code Section 31461.

**16-07**

**It was moved by Dale Amaral and seconded by Elizabeth Rogers that the Board approve the inclusion of Pay Code 109 – Sitter Pay as compensation earnable and pensionable compensation for members with entry dates before, on or after January 1, 2013, based on Government Code Sections 31461 and 7522.34. The motion carried 7 yes (Amaral, Cain-Darnes, Gamble, Koppenhaver, Rogers, White, Wood), 0 no, and 0 abstentions.**

Staff presented a request from Alameda Health System to review a new pay item, Pay Code 140 – Orientation Pay.

After staff's evaluation it was determined since Pay Code 140 applies to more than one member in this classification, it will be considered pensionable compensation for members (PEPRA members) with entry dates on or after January 1, 2013, based on Government Code Section 7522.34, and compensation earnable for members (legacy members) with entry dates prior to January 1, 2013, based on Government Code Section 31461.

**16-08**

**It was moved by Dale Amaral and seconded by Elizabeth Rogers that the Board approve the inclusion of Pay Code 140 – Orientation Pay as compensation earnable and pensionable compensation for members with entry dates before, on or after January 1, 2013, based on Government Code Sections 31461 and 7522.34. The motion carried 7 yes (*Amaral, Cain-Darnes, Gamble, Koppenhaver, Rogers, White, Wood*), 0 no, and 0 abstentions.**

Staff presented a request from Alameda Health System to review a new pay item, Pay Code 111 – Meeting Pay.

After staff's evaluation it was determined since Pay Code 111 applies to more than one member in this classification, it will be considered pensionable compensation for members (PEPRA members) with entry dates on or after January 1, 2013, based on Government Code Section 7522.34, and compensation earnable for members (legacy members) with entry dates prior to January 1, 2013, based on Government Code Section 31461.

**16-09**

**It was moved by Dale Amaral and seconded by Elizabeth Rogers that the Board approve the inclusion of Pay Code 111 – Meeting Pay as compensation earnable and pensionable compensation for members with entry dates before, on or after January 1, 2013, based on Government Code Sections 31461 and 7522.34. The motion carried 9 yes (*Amaral, Basgal, Cain-Darnes, Carson, Gamble, Koppenhaver, Rogers, White, Wood*), 0 no, and 0 abstentions.**

Staff presented a request from Alameda County to review a new pay item, Pay Code 41K – Manage/Coord. Work Assignment.

After staff's evaluation it was determined since Pay Code 41K applies to one member in this classification, it will be not be considered pensionable compensation for members (PEPRA members) with an entry date on or after January 1, 2013, based on Government Code Section 7522.34. However, it will be considered compensation earnable for members (legacy members) with entry dates prior to January 1, 2013, based on Government Code Section 31461.

**16-10**

**It was moved by Dale Amaral and seconded by Tarrell Gamble that the Board approve the non-inclusion of Pay Code 41K – Manage/Coord. Work Assignment as pensionable compensation for a member (PEPRA) with an entry date on or after January 1, 2013, based on Government Code Section 7522.34, and approve the inclusion of Pay Code 41K – Manage/Coord. Work Assignment as compensation earnable for a member (legacy) with an entry date prior to January 1, 2013, based on Government Code Section 31461. The motion carried 9 yes (*Amaral, Basgal, Cain-Darnes, Carson, Gamble, Koppenhaver, Rogers, White, Wood*), 0 no, and 0 abstentions.**

Staff presented a request from Alameda County to review a new pay item, Pay Code 41L – Sup/Coord. Wrk-SSA Pub Info Off.

After staff’s evaluation it was determined since Pay Code 41L applies to one member in this classification, it will be not be considered pensionable compensation for members (PEPRA members) with an entry date on or after January 1, 2013, based on Government Code Section 7522.34. However, it will be considered compensation earnable for members (legacy members) with entry dates prior to January 1, 2013, based on Government Code Section 31461.

**16-11**

**It was moved by Dale Amaral and seconded by Liz Koppenhaver that the Board approve the non-inclusion of Pay Code 41L – Sup/Coord. Wrk-SSA Pub Info Off as pensionable compensation for a member (PEPRA) with an entry date on or after January 1, 2013, based on Government Code Section 7522.34, and approve the inclusion of Pay Code 41L – Sup/Coord. Wrk-SSA Pub Info Off as compensation earnable for a member (legacy) with an entry date prior to January 1, 2013, based on Government Code Section 31461. The motion carried 8 yes (*Amaral, Cain-Darnes, Carson, Gamble, Koppenhaver, Rogers, White, Wood*), 0 no, and 0 abstentions.**

Staff presented a request from Alameda County to review a new pay item, Pay Code 41M – MR Tech Lead Duties in PHCCS.

After staff’s evaluation it was determined since Pay Code 41M applies to one member in this classification, it will be not be considered pensionable compensation for members (PEPRA members) with an entry date on or after January 1, 2013, based on Government Code Section 7522.34. However, it will be considered compensation earnable for members (legacy members) with entry dates prior to January 1, 2013, based on Government Code Section 31461.

**16-12**

**It was moved by Dale Amaral and seconded by Elizabeth Rogers that the Board approve the non-inclusion of Pay Code 41M – MR Tech Lead Duties in PHCCS as pensionable compensation for a member (PEPRA) with an entry date on or after January 1, 2013, based on Government Code Section 7522.34, and approve the inclusion of Pay Code 41M – MR Tech Lead Duties in PHCCS as compensation earnable for a member (legacy) with an entry date prior to January 1, 2013, based on Government Code Section 31461. The motion carried 8 yes (*Amaral, Cain-Darnes, Carson, Gamble, Koppenhaver, Rogers, White, Wood*), 0 no, and 0 abstentions.**

Staff presented the year-to-date operating expenses – budget vs. actual. As of November 30, 2015 actual expenses are \$2,292,683 under budget.

Staff presented the proposed 2016 Operations Committee work plan.

Staff presented reports on member under and overpayments of benefits or contributions that occurred during 2015. The reports included overpayments and underpayments of member contributions, overpayments of retirement allowance due to death, and Benefit Staff errors/overpayments. Following an extensive discussion, Trustees requested additional information on these reports, such as employer identification, the number of days between when the error occurred and when the error was identified, and how much Staff time it takes to correct the error. Dale Amaral directed Staff to be as thorough as possible in the documentation. Trustees also expressed a concern about the administrative costs to ACERA for the time and resources spent correcting the under and overpayments. Supervisor Carson urged Staff to reach out to Alameda Health System’s new CEO, Delvecchio Finley.

Staff reported on the results of the annual business continuity exercise conducted on October 9, 2015. For the first time, staff received notification of the unannounced exercise from ACERA’s automated call system. Also for the first time, each department participating in the exercise worked through pre-defined post-disaster scenarios. Overall, the exercise was considered a success as a result of staff’s ability to recover ACERA’s computing environment and test access to critical business applications. The next exercise will be scheduled in the fall of 2016. Ophelia Basgal suggested that staff’s contact information be verified during the year through ACERA’s automated call system. Staff agreed to utilize the automated system mid-year to keep the contact information current. Dale Amaral informed staff that the Alameda County Sheriff’s Department has a program called Mass Notification. This program may be able to assist ACERA in maintaining its notifications.

### **16-13**

**It was moved by Dale Amaral and seconded by Elizabeth Rogers that the Board approve the January 6, 2016 Operations Committee minutes. The motion carried 9 yes (Amaral, Basgal, Cain-Darnes, Carson, Gamble, Koppenhaver, Rogers, White, Wood), 0 no, and 0 abstentions.**

#### ***Retirees:***

Liz Koppenhaver reported that the Retirees Committee met on January 6, 2016, at 10:45 a.m. and highlighted the following:

Staff provided a proposed 2016 Retirees Committee Work Plan highlighting the most notable changes to include: a report on the availability of direct deposit advice statement through our Web Member Services and the “Opt In to Paper” initiative; a report on the status of the OneExchange 2016 individual plan enrollments for out-of-service area eligible retirees; and changing the frequency of providing the Health Care Reform updates from quarterly to semi-annually.

Staff reported that the 2015 Form 1099-R is scheduled to be mailed to retirees the week of January 25<sup>th</sup>, prior to the IRS deadline of January 31<sup>st</sup>.

Staff provided an update on plans and communication schedule to introduce the availability of receiving Direct Deposit Pay Advice Statements via Web Member Services, and the roll out of the option to select receiving a paper Statement.

Staff provided Retiree Health Fair survey results and information on the Plan Year 2016 Open Enrollment activity for ACERA and OneExchange.

There were no relevant benefit issues affecting ACERA retirees or ACERA Staff at this time.

### **TRUSTEE/PUBLIC/STAFF INPUT**

Trustee Wood stated that at some future date, he would like to revisit the possibility of reinstating the death benefits payable from the Supplemental Retiree Benefit Reserve (SRBR).

#### **16-14**

**It was moved by Liz Koppenhaver and seconded by Annette Cain-Darnes that the Board approve the January 6, 2016 Retirees Committee minutes. The motion carried 9 yes (Amaral, Basgal, Cain-Darnes, Carson, Gamble, Koppenhaver, Rogers, White, Wood), 0 no, and 0 abstentions.**

#### ***Investment:***

George Wood reported that the Investment Committee met on January 13, 2016, at 9:32 a.m. and highlighted the following:

Staff and Callan recommended updating the current Policy to: 1) reflect the current conditions of ACERA's Real Estate Portfolio; 2) incorporate decisions the Board made since the last review; and 3) improve the language of the Policy for clarity and consistency. Material changes made included (1) increasing the Real Estate Asset Allocation to 8% from 6%, (2) emphasizing Commingled Funds by minimizing governance language for separately managed accounts, and (3) specifying a leverage limit characteristic for the Opportunistic sub-asset class to generally include the use of leverage up to 80% Loan-to-Value.

#### **16-15**

**It was moved by George Wood and seconded by Annette Cain-Darnes that the Board adopt the Amended ACERA Real Estate Investment Guidelines, Policies and Procedures. The motion carried 9 yes (Amaral, Basgal, Cain-Darnes, Carson, Gamble, Koppenhaver, Rogers, White, Wood), 0 no, and 0 abstentions.**



Staff and Callan presented the 2016 – 2017 Investment Plan for ACERA’s real estate portfolio. Callan recommended that ACERA commit: 1) up to \$125 million to Core/Core-Plus before year-end 2016 and 2) up to \$125 million to Value-Added strategies with \$75 million in 2017 and \$50 million in 2018 to fulfill the increased target recently adopted for the asset class.

**16-16**

**It was moved by George Wood and seconded by Tarrell Gamble that the Board adopt the 2016 – 2017 Investment Plan for ACERA’s Real Estate Asset Class. The motion carried 9 yes (*Amaral, Basgal, Cain-Darnes, Carson, Gamble, Koppenhaver, Rogers, White, Wood*), 0 no, and 0 abstentions.**

Barry Dennis from Verus Advisory, Inc. (formerly known as SIS) reviewed the current capital market conditions, ACERA’s overall positions, its long term (10-15 year) forecast for capital market returns, and reiterated its expectations of lower returns (single digits) as all asset classes seem to be settling into a lower return regime. He said that U.S. Economic growth had not decelerated unlike the rest of the world’s economies. One reason for the strength of the economy is that U.S. industries are operating profitably but more so owing to cost cutting rather than to top line growth. He also re-affirmed the asset allocation targets for the ACERA Fund adopted by the Board in September 2015. The implementation of the newly adopted asset allocation has been methodically gradual and slow to encompass the review of the possible changes in new manager structures for some of the asset classes in the portfolio. Lastly, Verus asked for any further input regarding the proposed alternatives for the equity manager structures discussed at the December 9, 2015 ICM.

He offered the Investment Committee education sessions on portable alpha, direct lending and smart beta topics.

Craig Blum from TCW provided updates on its organization and investment process. Its ownership structure has not changed nor has its investment process. Craig also discussed its sixteen-year relationship with ACERA.

He reviewed the investment performance of ACERA’s account and noted that TCW had correctly anticipated that its relative performance would improve once the Federal Reserve’s quantitative easing program ended and no longer propped up the entire equity market. Craig also noted that without such unconventional monetary stimulus, companies with superior growth prospects, such as the high quality growth companies that TCW focuses on, would be rewarded. He also discussed the benefits of TCW’s active management approach versus a “passive” or “smart beta” management alternative.

TCW’s gross return for the one-year period ending 11/30/15 was 13.46%, outperforming its account benchmark (Russell 1000 Growth Index + 200 bps) by 5.34%, and 6.78% annualized since inception outperforming the account benchmark by 75 basis points.

Staff offered the proposed Investment Committee Workplan for 2016 (Workplan) and highlighted examples of items in the workplan (e.g, the RFP for General Investment Consultant in April 2006) to illustrate the various projects included. Several Trustees reminded staff to pace these projects in accordance with the resources available to Staff. The committee members will forward any comments to Staff and the revised Workplan will be included in the February Investment Committee meeting package.

**16-17**

**It was moved by George Wood and seconded by Annette Cain-Darnes that the Board approve the January 13, 2016 Investment Committee minutes. The motion carried 9 yes (Amaral, Basgal, Cain-Darnes, Carson, Gamble, Koppenhaver, Rogers, White, Wood), 0 no, and 0 abstentions.**

**OLD BUSINESS**

Joseph Fletcher, ACERA Chief Counsel, stated, as directed by the Board, Ashley Dunning, ACERA's Special Board Counsel, prepared a written [*Proposed*] *Findings of Fact and Statement of Decision (SOD)* regarding Rosalina Neeley's appeal hearing of staff determination. Mr. Fletcher stated that all parties who appeared before the Board on the hearing date were provided an opportunity to review and provide comments to the *SOD* and invited Charles Woodson, Counsel for Ms. Neeley, to submit any additional comments and/or changes he may have to the *SOD* today for the Board's consideration. Mr. Fletcher further stated that he would direct Ms. Dunning to work with Mr. Woodson to prepare a revised *SOD*, if necessary.

Ms. Dunning presented her *SOD* and exhibits and explained that it reflects discussions that occurred at the December 17, 2015 Special Board meeting, including Ms. Dunning's non-privileged advice to the Board. Ms. Dunning further explained that the decision/motion adopted by the Board at the December 17, 2015 Special meeting is contained in the last paragraph on page 12 of the *SOD*, which also includes input from Mr. Fletcher, Ms. Radulovich, and Mary Ellyn Gormley, Assistant County Counsel.

Mr. Woodson stated that he sent a January 12, 2016 letter to the Board, by way of Ms. Dunning, requesting a continuance until February 18, 2016 because Ms. Neeley is in the process of obtaining new Counsel and wanted to provide new Counsel with the December 17, 2015 audio transcript and other pertinent information for her review. Mr. Woodson confirmed that on January 15, 2016, he received a copy of the December 17, 2015 audio recording, free of charge. Ms. Fletcher confirmed receipt of the January 12, 2106 letter to the Board.

Trustee Rogers inquired whether or not there had been a "formal" Substitution of Counsel" form filed by the new Counsel. Mr. Woodson responded that there was no "formal" Substitution of Counsel" filed. Ms. Dunning informed the Board that approximately three weeks ago, she received a phone call from an attorney stating that she would be submitting a formal "Substitution of Counsel" form and for that reason, Ms. Dunning copied the new Counsel on all of her emails to Mr. Woodson. To date, ACERA Counsel has not received a formal "Substitution of Counsel" form.

Trustee Rogers asked Mr. Woodson if he had any additional changes to the *SOD* since he was still the attorney of record. Mr. Woodson requested that paragraph 11 of the *SOD* be revised to identify what information was or was not presented at the December 17, 2015 Special meeting and asked that the dates of the information that was presented be distinguished. Trustee Rogers then instructed Ms. Dunning to include her (Ms. Dunning) December 17, 2015 non-privileged memo as an exhibit to the *SOD*.

Mr. Fletcher informed the Board that if this matter is continued, it would have to take place in March 2016, because both he and Ms. Dunning would be out of town on February 18, 2016. Mr. Fletcher expressed that in the interest of Ms. Neeley and in order to comply with the Court's Order, he would like to bring this matter to a close.

### **16-18**

**It was moved by Dale Amaral and seconded by Liz Koppenhaver that the Board adopt Ashley Dunning's, ACERA's Special Counsel, [Proposed] Findings of Fact and Statement of Decision, with certain modifications. The motion carried 9 yes (Amaral, Basgal, Cain-Darnes, Carson, Gamble, Koppenhaver, Rogers, White, Wood), 0 no, and 0 abstentions.**

### **NEW BUSINESS**

#### **Kathy Foster, Interim Chief Executive Officer's Oral Report.**

The Interim Chief Executive Officer reported on the following items:

- Congratulated the newly elected Board Chair, Ophelia Basgal, and thanked former Board Chair, Elizabeth Rogers, for all of her support and thanked the Board for their support.
- On January 19 and 20, 2016, ACERA Senior Managers and Supervisors received Performance Management Training; hosted by Kathy Mount and Mary Ellyn Gormley of County of Alameda.
- ACERA is undergoing an Organizational Development Project to develop and implement ACERA's Core Values (Clarity Consulting).
- The Reed/DeMaio matter was postponed until 2018.
- Cancelled her attendance at the National Conference on Public Employee Retirement Systems (NCPERS) Healthcare Symposium and Legislative Update Conference due to bad weather in Washington, DC.

### **CONFERENCE REPORTS**

Trustee White attended the Opal Public Funds Summit wherein the discussion regarding what's happening in the current real estate market. It was noted that Alameda County was referred to as a model during this discussion. There was also discussions regarding State Treasurers' prospective on Defined Benefit Plans and *Defined Benefits vs. Defined Contribution Plans* (Hybrid Plans).

**TRUSTEE/PUBLIC INPUT**

- None

**ESTABLISHMENT OF THE NEXT MEETING**

- Thursday, March 17, 2016, at 2 p.m.

**ADJOURNMENT**

The meeting adjourned at 2:50 p.m.

Respectfully Submitted,



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Kathy Foster  
Interim Chief Executive Officer

02/18/16

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Date Adopted

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**APPENDIX A**  
**APPLICATION FOR SERVICE RETIREMENT**

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CARSON, Terry L.  
Effective: 9/26/2015  
Sheriff's Office

HENDRIX, Carolyn A.  
Effective: 8/1/2015  
Public Health

CASTRO, Karen E.  
Effective: 8/1/2015  
Social Services Agency

JACKSON, Claudia Beth  
Effective: 8/15/2015  
Superior Court

CHAN, Steve K.  
Effective: 8/29/2015  
Library

KAPLAN, Seth P.  
Effective: 7/1/2015  
Board of Supervisors

CLANCY, Thomas A.  
Effective: 10/24/2015  
Social Services Agency

KITTELL, Cathy A.  
Effective: 3/28/2015  
Alameda Health System

CLARK, Raymond D.  
Effective: 7/8/2015  
Alameda Health System

LUPA, Neil A.  
Effective: 8/22/2015  
Social Services Agency

DAVENPORT, Michael J.  
Effective: 3/26/2015  
Public Works Agency

MANOQUERRA, Debra G.  
Effective: 10/10/2015  
District Attorney

DYER, Anita  
Effective: 8/29/2015  
Alameda Health System

MC COLGAN, Edward J.  
Effective: 7/2/2015  
Superior Court

GOLDMAN, Candace  
Effective: 11/19/2015  
Superior Court - DEF

MC GUIRE, Edward A.  
Effective: 5/19/2015  
Sheriff's Office - DEF

HELIE, John R.  
Effective: 8/20/2015  
Superior Court - DEF

PEARSON, Susan  
Effective: 7/27/2013  
Social Services Agency

PITTMAN, Cheryl D.  
Effective: 10/9/2015  
Alameda Health System - DEF

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**APPENDIX A**  
**APPLICATION FOR SERVICE RETIREMENT**

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ROBINSON, Shelly K.  
Effective: 7/1/2015  
Social Services Agency - DEF

THOMAS, Vincent  
Effective: 8/1/2015  
Alameda Health System

TUDOR, Troy  
Effective: 10/25/2015  
LARPD - DEF

WEST, Robin K.  
Effective: 7/7/2015  
Assessor

WONG, Don G.  
Effective: 2/28/2015  
Social Services Agency

WONG, Wellington  
Effective: 7/11/2014  
Sheriff's Office

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**APPENDIX B**  
**APPLICATION FOR DEFERRED RETIREMENT**

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DYANGKO, Graciela B.  
Social Services Agency  
Effective: 11/6/2015

GRUBAUGH, Jesika M.  
Sheriff's Office  
Effective: 8/26/2015

STEVENSON, James E.  
Public Works Agency  
Effective: 12/4/2015

TOLBERT, Victoria A.  
Social Services Agency  
Effective: 10/9/2015

TRUEX, Carol  
Alameda Health System  
Effective: 8/14/2015

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**APPENDIX B-1**  
**APPLICATION FOR NON-DEFERRED**

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SANCHEZ, Sonya U.  
County Counsel  
Effective: 10/23/2015

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**APPENDIX C**  
**APPLICATION FOR DEFERRED TRANSFER**

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MAGERS, Jason P.  
Social Services Agency  
Effective: 10/09/2015  
Transferred to: San Mateo County Employees' Retirement Association

OROGO, Arnulfo C.  
General Services Agency  
Effective: 10/16/2015  
Transferred to: East Bay Municipal Utility District



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**APPENDIX D**  
**LIST OF DECEASED MEMBERS**

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Barnes, Christine H.  
11/30/2015  
Public Defender

La Placa, Marilyn  
10/29/2015  
Alameda Health System

Capurro, Mary A.  
12/24/2015  
Alameda Health System

Learned, Judy L.  
11/9/2015  
Public Health

Castanette, David C.  
12/8/2015  
Probation - DEF

Lopez, Louise  
12/10/2015  
Sheriff's Office - DEF

Chavez, Vana R.  
11/28/2015  
Health Care Services Agency

Mc Clease, Carole E.  
12/21/2015  
Alameda Health System

Daniels, Barbara J.  
11/24/2015  
Alameda Health System

Mc Kinley, Ernest L.  
12/27/2015  
Sheriff's Office

De Leon, Adriano A.  
11/21/2015  
General Services Agency

Meeker, Shirley A.  
12/9/2015  
Public Health - DEF

Guinn, Curtis L.  
11/21/2015  
General Services Agency

Michelini, Anthony C.  
12/24/2015  
Survivor of Dahlia C. Michelini

Gula, Virginia D.  
12/26/2015  
Survivor of John P. Gula

Migliore, Carmen  
12/7/2015  
Survivor of John J. Migliore, Jr.

Hollfelder, Janet P.  
11/21/2015  
Survivor of Gene Hollfelder

Navarro, Sally  
11/2/2015  
Alameda Health System

Iannarelli, Alfred V.  
12/25/2015  
Sheriff's Office - DEF

Parsons, Paulette M.  
12/1/2015  
Treasurer - Tax Collector

Jacobs, John  
12/18/2015  
Superior Court

Robinson, Jr., Andrew  
12/19/2015  
DRO

**APPENDIX F**  
**APPLICATION FOR DISABILITY RETIREMENT**

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**Name:** Babcock, Bruce  
**Type of Claim:** Service Connected

Staff's Recommendation:

Approve the recommendation contained in Dr. Wagner's report to grant Mr. Babcock a service connected disability and to require future annual medical examinations and questionnaires.

Based on Dr. Wagner's and Staff's review and determination of Mr. Babcock's ability to determine the permanency of his incapacity, to grant Mr. Babcock's request for an earlier effective date.

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**Name:** Graydon, Diane  
**Type of Claim:** Service Connected

Staff's Recommendation:

Approve the recommendation contained in Dr. Wagner's report to grant Ms. Graydon a service connected disability. Since Ms. Graydon is over 55 years old, future annual medical examinations will not be required.

Based on Dr. Wagner's and Staff's review and determination of Ms. Graydon's ability to determine the permanency of her incapacity, to grant Ms. Graydon's request for an earlier effective date.

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**Name:** Hughes, Thomas  
**Type of Claim:** Service Connected

Staff's Recommendation:

Approve the recommendation contained in Dr. Wagner's report to grant Mr. Hughes a service connected disability. Since Mr. Hughes is over 55 years old, future annual medical examinations will not be required.

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**APPENDIX F**  
**APPLICATION FOR DISABILITY RETIREMENT**

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**Name:** Perris, Mark  
**Type of Claim:** Non-Service Connected

Staff's Recommendation:

Approve the recommendation contained in Dr. Wagner's report to grant Mr. Perris a non-service connected disability and to not require future annual medical examinations and questionnaires at this time.

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**Name:** Sanchas, David  
**Type of Claim:** Service Connected

Staff's Recommendation:

Approve the recommendation contained in Dr. Wagner's report to grant Mr. Sanchas a service connected disability. Since Mr. Sanchas is over 55 years old, future annual medical examinations will not be required.

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