



**Alameda County Employees' Retirement Association  
BOARD OF RETIREMENT**

***NOTICE and AGENDA\****

**ACERA MISSION:**

***To provide ACERA members and employers with flexible, cost-effective, participant-oriented benefits through prudent investment management and superior member services.***

**Thursday, January 19, 2017  
2:00 p.m.**

LOCATION	BOARD OF RETIREMENT - MEMBERS	
<b>ACERA C.G. "BUD" QUIST BOARD ROOM 475 14<sup>TH</sup> STREET, 10<sup>TH</sup> FLOOR OAKLAND, CALIFORNIA 94612-1900 MAIN LINE: 510.628.3000 FAX: 510.268.9574</b>	<b>OPHELIA BASGAL</b>	<b>APPOINTED</b>
	<b>CHAIR</b>	
	<b>DALE AMARAL</b>	<b>ELECTED SAFETY</b>
	<b>FIRST VICE-CHAIR</b>	
	<b>TARRELL GAMBLE</b>	<b>APPOINTED</b>
	<b>SECOND VICE-CHAIR</b>	
	<b>ANNETTE CAIN-DARNES</b>	<b>APPOINTED</b>
	<b>KEITH CARSON</b>	<b>APPOINTED</b>
	<b>LIZ KOPPENHAVER</b>	<b>ELECTED RETIRED</b>
	<b>ELIZABETH ROGERS</b>	<b>ELECTED GENERAL</b>
<b>DONALD R. WHITE</b>	<b>TREASURER</b>	
<b>GEORGE WOOD</b>	<b>ELECTED GENERAL</b>	
<b>NANCY REILLY</b>	<b>ALTERNATE RETIRED<sup>1</sup></b>	
<b>DARRYL L. WALKER</b>	<b>ALTERNATE SAFETY<sup>2</sup></b>	

<sup>1</sup> Alternate Board Member (Retiree Trustee votes in the absence of the 8<sup>th</sup> Member)

<sup>2</sup> Alternate Board Member (Active Trustee votes in the absence of the 2<sup>nd</sup>, 3<sup>rd</sup>, and 7<sup>th</sup> Member)

*Note regarding accommodations:* The Board of Retirement will provide reasonable accommodations for persons with special needs of accessibility who plan to attend Board meetings. Please contact ACERA at (510) 628-3000 to arrange for accommodation.

*Note regarding public comments:* Public comments are limited to four (4) minutes per person in total.

*Note regarding Public Records:* All writings that are distributed to a majority of members of the ACERA Board of Retirement in connection with a matter subject to discussion at an open meeting of the Board or one of its Committees are public records within the meaning of the California Public Records Act and are available for inspection upon request, unless the writings are privileged or otherwise exempt from disclosure under the provisions of the California Public Records Act. Please contact ACERA at (510) 628-3000 to request to inspect documents. Documents will be made available at ACERA, 475 14th Street, Oakland, California.

The order of agendized items is subject to change without notice. Board and Committee agendas and minutes are available online at [www.acera.org](http://www.acera.org).

1. **CALL TO ORDER:**
2. **ROLL CALL:**
3. **ELECTION OF BOARD OFFICERS FOR 2017 AND POSSIBLE COMMITTEE ASSIGNMENTS:**
4. **REVIEW AND APPROVAL:** Minutes of the Regular Board Meeting:  
*December 15, 2016*
5. **CONSENT CALENDAR:**
  - A. **APPLICATION FOR SERVICE RETIREMENT:**  
*Appendix A*
  - B. **APPLICATION FOR RETIREMENT, DEFERRED:**  
*Appendix B  
Appendix B-1*
  - C. **APPLICATION FOR DEFERRED TRANSFER:**  
*Appendix C*
  - D. **LIST OF DECEASED MEMBERS:**  
*Appendix D*
  - E. **REQUESTS FOR 130 BI-WEEKLY PAYMENTS TO RE-DEPOSIT CONTRIBUTIONS AND GAIN CREDIT:**  
*Appendix E*
  - F. **APPLICATION FOR DISABILITY RETIREMENT – NON CONTESTED ITEMS:**  
*Appendix F*
  - G. **APPLICATION FOR DISABILITY RETIREMENT – HEARING OFFICER RECOMMENDATIONS:**  
*Appendix G*
  - H. **APPROVAL OF COMMITTEE MINUTES:**
    - *None*
  - I. **MISCELLENOUS MATTERS:**
    - *Approval of Benefit Correction for Non-Member Continuance and Elimination of Continuance*

-----End of Consent Calendar-----  
(MOTION)

**REGULAR CALENDAR**  
**REPORTS AND ACTION ITEMS**

**6. A. DISABILITIES, CURRENT, RECOMMENDATIONS AND MOTIONS:**

*(Item(s) will be heard in Closed Executive Session)*

- None.

**B. DISABILITIES, CONTINUING, RECOMMENDATIONS AND MOTIONS:**

*(Item(s) will be heard in Closed Executive Session)*

- None.

**7. COMMITTEE REPORTS, RECOMMENDATIONS AND MOTIONS:**

**A. Operations:**

1. Summary and Approval of the Minutes of the January 4, 2017, Meeting.

**B. Retirees:**

1. Summary and Approval of the Minutes of the January 4, 2017, Meeting.

**C. Investment:**

1. Summary and Approval of the Minutes of the January 11, 2017, Meeting.
2. Motion to adopt an Investment in ACERA's PEARLS Portfolio – Buyouts and Venture Capital.
3. Motion to adopt the Proposed timeline, search criteria, and evaluation matrix for ACERA's Emerging Markets Manager Search – International Equities.

**8. OLD BUSINESS:**

- None.

**9. NEW BUSINESS:**

**A.** Declining Trustee Attendance at SACRS Conferences – SACRS Vice-President Gabriel Rodrigues.

**B.** Motion to approve Operations Committee recommendation to continue the voluntary elected member employer reimbursement for 2017 [*Carried Over from the December 15, 2016 Board meeting.*]

**C.** Motion to approve Operations Committee recommendation to affirm the Voluntary Elected Member Employer Reimbursement Policy, without revisions [*Carried Over from the December 15, 2016 Board meeting.*]

- D. Review, discussion and possible motion to approve *the Board Business and Travel Policy*, with revisions as recommended by Staff.
  - E. Review, discussion and possible motion to approve the *Trustee Education Policy*, with revisions as recommended by Staff.
  - F. Review, discussion and possible motion to approve the inclusion of the following pay items as compensation earnable and pensionable compensation for members with entry dates before, on or after January 1, 2013, based on Government Code Sections 31461 and 7522.34:
    - 1. 141 – Psychiatrists Evening Flat Rate
    - 2. 26M – Evening \$11.00
  - G. Review, discussion and possible motion to approve the amendment increasing additional pay from 5% to 10% for inclusion of the Amended Pay Item, Labor Relations Analyst I and II – Code 41A, as compensation earnable and pensionable compensation for members with entry dates before, on or after January 1, 2013, based on Government Code Sections 31461 and 7522.34.
  - H. Review, discussion and possible motion to approve the inclusion of Pay Item 41R – BOS Chief of Staff as compensation earnable and pensionable compensation for members with entry dates before, on or after January 1, 2013, based on Government Code Sections 31461 and 7522.34.
  - I. Chief Executive Officer’s Report.
- 10. CONFERENCE REPORTS/ORAL REPORTS:**
- 11. ANNOUNCEMENTS:**
- 12. PUBLIC INPUT:**
- 13. BOARD INPUT:**
- 14. ESTABLISHMENT OF NEXT MEETING:**  
**Thursday, February 16, 2017 at 2:00 p.m.**

15. **ADJOURNMENT INTO CLOSED EXECUTIVE SESSION: Pursuant to Government Code §54956.9(c) Conference with Legal Counsel – Pending Litigation:**
  - *Rosalina Neeley v. Trustees of ACERA, County of Alameda and Alameda County Superior Court, Alameda County Superior Court Case No. RG 14718687*
  
16. **RECONVENE INTO OPEN SESSION TO REPORT ON ACTION TAKEN IN CLOSED EXECUTIVE SESSION:**
  
17. **ADJOURNMENT:**

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**APPENDIX A  
APPLICATION FOR SERVICE RETIREMENT**

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BAPTISTA, Anthony  
Effective: 7/30/2016  
Probation

KINGDOM, Ruthie A.  
Effective: 8/2/2016  
Alameda Health System

BLACK, Murva G.  
Effective: 8/27/2016  
Social Services Agency

MINTZER-KAYKO, Mary Lou  
Effective: 10/25/2016  
Alameda Health System - DEF

BRADLEY, Barbara L.  
Effective: 9/10/2016  
Social Services Agency

NEVAREZ, Jaime F.  
Effective: 9/1/2016  
Social Services Agency

EGLER, Rebecca  
Effective: 4/1/2016  
LARPD

RAY, Patsy N.  
Effective: 5/20/2016  
Alameda Health System

FARIS, Ann P.  
Effective: 7/30/2016  
Public Works Agency

SEDGWICK, Kelly Ann  
Effective: 12/1/2016  
Supreme Court - DEF

HUANG, Len Bau L.  
Effective: 9/27/2016  
Social Services Agency - DEF

SMITH, Patricia A.  
Effective: 7/18/2015  
Almada Health System

KALISH, Marcy T.  
Effective: 9/30/2016  
Health Care Services Agency - DEF

TRUJILLO, Angelyn A.  
Effective: 9/30/2016  
Social Services Agency

VELASQUEZ, Joseph S.  
Effective: 6/4/2016  
Sheriff's Office

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**APPENDIX B  
APPLICATION FOR DEFERRED RETIREMENT**

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AMADOR-DIGGDON, Sabrina M.  
Auditor-Controller  
Effective: 7/29/2016

AZOFEIFA, Elisse M.  
Alameda Health System  
Effective: 7/29/2016

BURTON-JACKSON, Lisa Y.  
Alameda Health System  
Effective: 10/24/2016

LISMAN, Michael B.  
Health Care Services Agency  
Effective: 9/16/2016

MC GOVERN, Peter  
Alameda Health System  
Effective: 7/8/2016

NG, Bertina X.  
ACERA  
Effective: 11/9/2016

PAOLINI, Karen G.  
Information Technology  
Effective: 10/11/2016

QVISTGAARD, Guy  
Alameda Health System  
Effective: 11/10/2016

SMYER, Marc  
Superior Court  
Effective: 8/17/2016

TRINH, Phoi D.  
Health Care Services Agency  
Effective: 10/21/2016

WHITTLE, Tracie M.  
Sheriff's Office  
Effective: 10/7/2016

XU, Wen Jing  
Assessor  
Effective: 9/2/2016

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**APPENDIX B-1  
APPLICATION FOR NON-VESTED DEFERRED**

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CHU, Annie K.  
Alameda Health System  
Effective: 7/16/2016

LE, Cindy  
Alameda Health System  
Effective: 7/19/2016

MARTINEZ, Jessica N.  
Social Services Agency  
Effective: 8/5/2016

MC ELROY, Michael D.  
Alameda Health System  
Effective: 5/19/2016

PEEK, Andrew M.  
Sheriff's Office  
Effective: 12/3/2016

POON, Eva  
Board of Supervisors  
Effective: 10/7/2016

PULIDO, George  
Superior Court  
Effective: 8/15/2016

SCHIERBURG, Walette A.  
Alameda Health System  
Effective: 9/2/2016

SCHROEDER, Laura M.  
Social Services Agency  
Effective: 11/14/2016

SICA, Deborah M.  
Library  
Effective: 10/21/2016



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**APPENDIX D  
LIST OF DECEASED MEMBERS**

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ALEXANDER, Olga  
12/22/2016  
Survivor of Louis A. Alexander

HALLERT, Richard  
11/28/2016  
DRO

BORMAN, Dale H.  
12/6/2016  
General Services Agency

HARRY, Lucille E.  
12/12/2016  
Probation

COLLIS, Stanley R.  
11/23/2016  
Superior Court - DEF

KIEL, Grace E.  
12/16/2016  
Survivor of Roger D. Kiel

CORTELYOU, Clara N.  
12/6/2016  
Social Services Agency

SHIKANY, Louis G.  
11/4/2016  
General Services Agency

CRESSWELL, Richard  
12/18/2016  
Social Services Agency

STOLZ, Barbara H.  
12/12/2016  
General Services Agency

DONATO, Thomas E.  
11/7/2016  
Superior Court

SWIFT, Elsie R.  
11/22/2016  
Social Services Agency

DONATO, Thomas E.  
11/7/2016  
Survivor of Patricia A. Donato

SWYGERT, James W.  
12/11/2016  
General Services Agency

FARRINGTON, Genevieve  
12/9/2016  
Survivor of Alan B. Farrington

WALES, Glee A.  
11/27/2016  
Mental Health Services

GERWICK, Ellen C.  
12/25/2016  
Survivor of Richard C. Lynch

WETZIG, James L.  
12/4/2016  
Public Works Agency

WILLMES, Addie C.  
12/10/2016  
Alameda Health System

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**APPENDIX F**  
**APPLICATION FOR DISABILITY RETIREMENT**

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**Name:** Allen, Monifa  
**Type of Claim:** Annual Review for NSCD (Granted on 10/15/2015)

Staff's Recommendation:

Approve the recommendation contained in Dr. Wagner's report to continue the allowance for non-service connected disability and to waive future annual medical examinations and questionnaires at this time.

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**Name:** Baker, Glenn  
**Type of Claim:** Service Connected

Staff's Recommendation:

Approve the recommendation contained in Dr. Wagner's report to grant Mr. Baker a service connected disability. Since Mr. Baker is over 55 years old, future annual medical examinations will not be required.

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**Name:** Butler, Barbara  
**Type of Claim:** Service Connected

Staff's Recommendation:

Approve the recommendation contained in Dr. Wagner's report to grant Ms. Butler a service connected disability. Since Ms. Butler is over 55 years old, future annual medical examinations will not be required.

Based on Dr. Wagner's and Staff's review and determination of Ms. Butler's ability to determine the permanency of her incapacity, to grant Ms. Butler's request for an earlier effective date.

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**Name:** Morning, Kerry  
**Type of Claim:** Service Connected

Staff's Recommendation:

Approve the recommendation contained in Dr. Wagner's report to grant Mr. Morning a service connected disability. Since Mr. Morning is over 55 years old, future annual medical examinations will not be required.

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**APPENDIX I  
MISCELLANEOUS MATTERS**

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- Approval of benefit correction for non-member continuance and elimination of continuance for:

**Name:** **Baker, Floyd**  
**Type of Claim:** Community Property Division (court order – DRO)

Staff's Recommendation:

Staff recommends that the Board require correction of the error in presenting non-member Mr. Floyd Baker the option of selecting a survivor continuance allowance payment for a non-member spouse. This matter involves ACERA's requirement to divide and distribute community property interests from retirement benefits of a member and non-member ex-spouse. In presenting information to the non-member ex-spouse at the time of the election of benefits by the non-member ex-spouse, ACERA presented the option which provides for a survivor continuance benefit payment after the death of the non-member ex-spouse. ACERA is now correcting that error. Correction includes no payment to the non-member ex-spouse of a lifetime monthly continuance allowance.

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**Name:** **Heptinstall, Diana**  
**Type of Claim:** Community Property Division (court order – DRO)

Staff's Recommendation:

Staff recommends that the Board require correction of the error in presenting non-member Mr. Scott Swanson the option of selecting a survivor continuance allowance payment for a non-member spouse. This matter involves ACERA's requirement to divide and distribute community property interests from retirement benefits of a member and non-member ex-spouse. In presenting information to the non-member ex-spouse at the time of the election of benefits by the non-member ex-spouse, ACERA presented the option which provides for a survivor continuance benefit payment after the death of the non-member ex-spouse. ACERA is now correcting that error. Correction includes no payment to the non-member ex-spouse of a lifetime monthly continuance allowance.

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