



ALAMEDA COUNTY EMPLOYEES' RETIREMENT ASSOCIATION
BOARD OF RETIREMENT
MINUTES

Thursday, October 15, 2015

Chair Elizabeth Rogers called the meeting to order at 2:05 p.m.

Trustees Present: Dale Amaral
Annette Cain-Darnes
Keith Carson
Tarrell Gamble
Liz Koppenhaver
Elizabeth Rogers
Donald White
George Wood
David Safer (Alternate)
Darryl Walker (Alternate)

Trustees Excused: Ophelia Basgal

Staff Present: Margo Allen, Fiscal Services Officer
Victoria Arruda, Human Resources Officer
Angela Bradford, Executive Secretary
Sandra Dueñas, Benefits Manager
Joseph Fletcher, Chief Counsel
Kathy Foster, Interim Chief Executive Officer
Harsh Jadhav, Chief of Internal Audit
Betty Tse, Chief Investment Officer
Latrena Walker, Project and Information Services Manager

15-124

The minutes of the regular meeting of September 17, 2015, were accepted on a motion by Dale Amaral, seconded by George Wood, and approved by a vote of 6 yes (*Amaral, Cain-Darnes, Carson, Koppenhaver, Rogers, Wood*), 0 no, and 0 abstention.

**CONSENT CALENDAR
REPORTS AND ACTION ITEMS**

APPLICATION FOR SERVICE RETIREMENT

Appendix A

APPLICATION FOR RETIREMENT, DEFERRED

*Appendix B
Appendix B-1*

APPLICATION FOR DEFERRED TRANSFER

Appendix C

LIST OF DECEASED MEMBERS

Appendix D

**REQUESTS FOR 130 BI-WEEKLY PAYMENTS TO RE-DEPOSIT
CONTRIBUTIONS AND GAIN CREDIT**

Appendix E

APPLICATION FOR DISABILITY RETIREMENT – NON CONTESTED ITEMS:

Appendix F

**APPLICATION FOR DISABILITY RETIREMENT – HEARING OFFICER
RECOMMENDATIONS**

Appendix G

APPROVAL COMMITTEE MINUTES

October 15, 2015 Actuarial Committee Minutes

It was moved by George Wood, seconded by Liz Koppenhaver and approved by a vote of 5 yes (*Cain-Darnes, Carson, Koppenhaver, Rogers, Wood*), 1 recusal (*Amaral*), 0 no, 0 abstentions, that the following resolution is adopted:

15-125

BE IT RESOLVED BY THIS BOARD that the Consent Calendar is approved as presented.

REGULAR CALENDAR – REPORTS AND ACTION ITEMS
DISABILITIES, CURRENT AND CONTINUING RECOMMENDATIONS AND
MOTIONS

None.

COMMITTEE REPORTS, RECOMMENDATIONS AND MOTIONS

*Note: Per Committee Chairs, copies of the Committee meeting minutes were available for attendees. All **September and October** Committee meeting minutes are posted on the ACERA website (www.acera.org) after approval at the **October 15, 2015**, Board meeting. Due to the absence of a sufficient number of appointed members, Board Chair Elizabeth Rogers postponed taking action on the Operations Committee's recommendations regarding the Voluntary Elected Member Employer Reimbursement until later in the meeting. Therefore, this month's Committee reports were presented in the following order:*

Retirees:

Liz Koppenhaver reported that the Retirees Committee met on October 7, 2015, 2015, at 10:32 a.m., and highlighted the following:

Segal Consulting (Segal), ACERA's Actuary, presented the annual GASB Statement No. 43 (OPEB) and non-OPEB Actuarial Valuation of the Benefits Provided by the Supplemental Retiree Benefit Reserve, Including Sufficiency of Funds, as of December 31, 2014. Based on this valuation report, the terminal year of the "Other Post-Employment Benefit" (OPEB) is projected to be 2037, with full benefits paid through 2036 for a total of 22 full years and 1 partial year. The terminal year of Non-OPEB benefits is projected to be 2034, with full benefits paid through 2033 for a total of 19 full years and 1 partial year. Segal reported that the prolonged projections for the OPEB's are mainly due to the high rate of return on the Supplemental Retiree Benefit Reserve (SRBR) assets, fewer than expected retirements during 2014, and lower than expected premium rates for 2016. These projections were offset somewhat by changes in actuarial assumptions and new provisions to provide the Monthly Medical Allowance to a new group of retirees living outside the HMO plan service areas.

15-126

It was moved by Liz Koppenhaver and seconded by George Wood that the Board accept the GASB Statement No. 43 (OPEB) and non-OPEB Actuarial Valuation of the Benefits Provided by the Supplemental Retiree Benefit Reserve, Including Sufficiency of Funds, as of December 31, 2014, prepared by Segal Consulting. The motion carried 6 yes (*Amaral, Cain-Darnes, Carson, Koppenhaver, Rogers, Wood*), 0 no, and 0 abstentions.

Staff presented a history of the Supplemental Retiree Benefit Reserve (SRBR) activity for the 10 ½ year period ending June 30, 2015. The ending balance of the SRBR as of June 30, 2015 was approximately \$840.0 million. The semi-annual interest crediting as of June 30, 2015 based on the rate of 9.4418% was completed on August 27, 2015. There was approximately \$74.6 million of interest credited and \$25.0 million deducted from the SRBR fund.

Keenan & Associates, ACERA's Benefits Consultant, provided information on the King v. Burwell case, Cadillac Tax and tax projections, Health Care Reform reporting requirements, and retiree-only Health Reimbursement Arrangements. Trustees directed Staff to provide the percentage increase of the prescription drug costs based on the Federal Blue Cross/Blue Shield standard benefit from 2010 to the most current period.

Keenan & Associates, ACERA's Benefits Consultant, provided a presentation on ACERA's Wellness Program, which included the current Program strategy highlights, interest survey, results of Kaiser Permanente's chronic conditions report for 2014, and Kaiser's support to the Program and its Health Sleep Toolkit promotional campaign.

Staff provided a presentation with sample communication materials regarding ACERA's annual Open Enrollment for plan year 2016 and the Retiree Health Fair

Staff provided information regarding the annual Medicare Part D Certification of Coverage Notice mailing, and OneExchange Balance Reminder Statement mailing.

15-127

It was moved by Liz Koppenhaver and seconded by George Wood that the Board approve the October 7, 2015, Retirees Committee minutes. The motion carried 6 yes (Amaral, Cain-Darnes, Carson, Koppenhaver, Rogers, Wood), 0 no, and 0 abstentions.

Budget:

Vice-Chair Elizabeth Rogers reported, on behalf of Committee Chair Ophelia Basgal, that the Budget Committee met on October 7, 2015, at 1:00 p.m., and highlighted the following:

Staff presented a comprehensive review of the Board of Retirement's travel and education expenses for years 2012-2015. Included in the review were comparisons of year-over-year total expenses, percentage of total expenses to the collective annual cap, and percentage of Milken Global Conference to total expenses.

Staff presented the draft proposed 2016 Operating Expense Budget.

Staff presented the 2016 Departmental Budgeted Position Control List for each budgeted staff position and proposed staff position for 2016. Staff is requesting to fund three positions: one new position, and two previously defunded positions. The report also reflected less temporary staff filling vacant positions in the Benefits Department.

15-128

It was moved by Elizabeth Rogers and seconded by Liz Koppenhaver that the Board approve the October 7, 2015, Budget Committee minutes. The motion carried 6 yes (*Amaral, Cain-Darnes, Carson, Koppenhaver, Rogers, Wood*), 0 no, and 0 abstentions.

Investment:

George Wood reported that the Investment Committee met on October 14, 2015, at 9:40 a.m., and highlighted the following:

Representatives from SIS and Staff presented and recommended changing the manager structure within the Real Return Pool (RRP) with a current asset allocation target of 5% for the named asset class. The current RRP asset class's manager structure was adopted by the Board in 2012. The named structure allocated 40% to commodities and 60% to other inflation hedging assets. The recommendation is based on 1) evolving capital markets, 2) the need to diversify the manager structure in order to invest in a more diversified, risk-adjusted manner that is sensitive to inflation and generates alpha. SIS recommended incorporating public and private natural resources, public and private infrastructure; as well as commodities and liquid investments. Specifically, the proposed structure is 35% Liquid Pool, 40% Private Natural Resources, and 25% Private Infrastructure.

The Committee discussed several factors including possible types of investments within each sub-asset category, private versus public markets, inflation expectations, and an appropriate benchmark blended to match the underlying investments.

15-129

It was moved by George Wood and seconded by Annette Cain-Darnes that the Board adopt the new Real Return Pool Manager Structure as presented by Strategic Investment Solutions (SIS) and Staff. The motion carried 5 yes (*Amaral, Cain-Darnes, Carson, Rogers, Wood*), 0 no, and 1 abstention (*Koppenhaver*).

Representatives from SIS and Staff presented the Amended ACERA's PEARLS Policy, which provided an update to the PEARLS target allocation to 18% from 15% (approved by the Board on August 20, 2015) The corresponding Target Allocations and Minimum and Maximum Ranges for the Private Equity and Alternatives Portfolio were also adjusted.

Staff, working closely with SIS, reviews the PEARLS Policy annually, and makes all necessary changes to: 1) reflect the current conditions of the PEARLS Portfolio, 2) incorporate decisions that the Board has made since the last review of the PEARLS Policy; and 3) improve the style of the PEARLS Policy for consistency and clarity.

15-130

It was moved by George Wood and seconded by Dale Amaral that the Board adopt Board adopt an Amended PEARLS Policy subject to the correction on page 5 changing the target allocation reference under “By Investment Manager” to 9.0% vs 7.5%. The motion carried 5 yes (*Amaral, Cain-Darnes, Carson, Rogers, Wood*), 0 no, and 1 abstention (*Koppenhaver*).

SIS presented the 2016 – 2017 Investment Plan for ACERA’s PEARLS Portfolio. The 2016 – 2017 Investment Plan outlines the new target allocations of the PEARLS Portfolio and the annual progression toward the target allocations with an emphasis on the commitments projected to be made in 2016 and 2017 within the PEARLS Portfolio. It is expected that the PEARLS target allocation of 18% will be reached by the end of 2018.

15-131

It was moved by George Wood and seconded by Keith Carson that the Board adopt the 2016 – 2017 Investment Plan for ACERA’s PEARLS Asset Class. The motion carried 5 yes (*Amaral, Cain-Darnes, Carson, Rogers, Wood*), 0 no, and 1 abstention (*Koppenhaver*).

Representatives from Warburg Pincus, presented the investment strategy, goals and objectives of the Warburg Pincus Private Equity XII, L.P. (“WP XII” or “Fund”). The representatives also presented the investment process of and risk management method used by of the Fund.

15-132

It was moved by George Wood and seconded by Annette Cain-Darnes that the Board adopt up to \$50 million commitment to Warburg Pincus XII pending completion of legal due diligence, background investigations and successful contract negotiation. The motion carried 5 yes (*Amaral, Cain-Darnes, Carson, Rogers, Wood*), 0 no, and 1 abstention (*Koppenhaver*).

On August 12, 2015, Staff recommended to the Board an up to \$40.0 million commitment to Catalyst V as part of the Debt-Related/Special Situations allocation in the PEARLS program. This \$40.0 million commitment was approved by the Board on August 20, 2015.

Upon receipt of a recommendation from Strategic Investment Solutions, Inc. (“SIS”) for an additional \$10 million USD commitment to Catalyst V, Staff concurred with SIS’s recommendation for an additional investment of \$10 million USD to Catalyst V as part of the Debt-Related/Special Situations PEARLS allocation.

15-133

It was moved by George Wood and seconded by Dale Amaral that the Board adopt an additional investment of \$10 million commitment to the Catalyst V, for a total commitment of \$50 million. The motion carried 5 yes (*Amaral, Cain-Darnes, Carson, Rogers, Wood*), 0 no, and 1 abstention (*Koppenhaver*).

ACERA's monthly Investment Committee meeting (ICM) is regularly scheduled on the second Wednesday of each month. However, the second Wednesday in November (November 11, 2015) coincides with Veterans Day which is an official County Holiday. Staff proposes to postpone the November ICM to Thursday, November 12 at 9:30 a.m.

15-134

It was moved by George Wood and seconded by Keith Carson that the Board approve the October 7, 2015, Investment Committee minutes. The motion carried 6 yes (*Amaral, Cain-Darnes, Carson, Koppenhaver, Rogers, Wood*), 0 no, and 0 abstentions.

Actuarial:

Keith Carson gave an oral report at today's Board meeting regarding the following action and informational items discussed at the October 15, 2015 Actuarial Committee meeting:

The Actuarial Committee discussed and recommended that the Board approve using the Contingency Reserve Account's (CRA) available earnings during the same six-month interest crediting period that the CRA is restored to one (1) percent of total assets of the fund, when available earnings are less than the assumed rate of return, but not negative.

15-135

It was moved by Keith Carson and seconded by George Wood that the Board approve using the Contingency Reserve Account (CRA) as available earnings during the same six-month interest crediting period that the CRA is restored to one (1) percent of total assets of the fund, when available earnings are less than the assumed rate of return, but not negative. The motion carried 6 yes (*Amaral, Cain-Darnes, Carson, Koppenhaver, Rogers, Wood*), 0 no, and 0 abstentions.

The Actuarial Committee discussed and recommended that the Board extend Segal Consulting's (Segal) contract through December 31, 2016.

15-136

It was moved by Keith Carson and seconded by George Wood that the Board extend Segal Consulting’s contract through December 31, 2016. The motion carried 4 yes (Carson, Koppenhaver, Rogers, Wood), 0 no, and 2 abstentions (Amaral, Cain-Darnes).

Note: The formal written October 15, 2015 Actuarial Committee minutes will be included for approval on the November 12, 2015 Board Consent Calendar.

Operations:

Prior to reporting on the October 7, 2015 Operations Committee meeting, Operations Committee Chair Dale Amaral explained that elected members were required to recuse themselves from the Operations Committee meeting regarding the voluntary elected member employer reimbursement recommendations and acknowledged that they should also recuse themselves from the Board meeting. Due to the absence of a sufficient number of appointed members, the Board could not move forward on the recommendation. Consequently, it was decided that both recommendations would be presented at the November 12, 2015 Board meeting under *New Business* for the Board’s consideration and/or approval. Dale Amaral proceeded to report that the Operations Committee met on October 7, 2015, at 9:32 a.m., and highlighted the following:

Legal Staff reminded the committee that ACERA received a favorable tax determination letter and Voluntary Correction Program Compliance statement dated January 29, 2014 from the Internal Revenue Service (IRS). The IRS granted the tax determination letter with the understanding that ACERA would adopt model tax compliance regulations. Failure to adopt the regulations could result in penalties or a risk to ACERA’s tax exempt status. Once the regulations are adopted, they will be sent to the Board of Supervisors for approval. The regulations would become effective January 1, 2016.

Legal Staff explained that the IRS approved the model tax compliance regulations, and ACERA’s practices are consistent with them or require relatively minor changes. Legal Staff noted that language will be added to the Regulation for Code Section 401(a) (Distribution Limits) prior to the Board meeting concerning the reference to emergency situations, exceptions to PEPRA, and the reference to a member returning to work without a bona fide separation and without the right under GC section 8558.

The Committee Chair directed Legal Staff to bring the Model Tax Compliance Regulations to the full Board with the revisions specified by Legal Staff.

15-137

It was moved by Dale Amaral and seconded by Liz Koppenhaver that the Board adopt the proposed Model Tax Compliance Regulations. The motion carried 6 yes (Amaral, Cain-Darnes, Carson, Koppenhaver, Rogers, Wood), 0 no, and 0 abstentions.

Prior to any discussion, Trustees Dale Amaral, Elizabeth Rogers, George Wood, and Darryl Walker recused themselves from the discussion and vote, and left the room. Legal Staff explained that there are two action items for discussion and possible motion regarding the voluntary elected member employer reimbursement. In the first action item, Legal Staff recommends that the Voluntary Elected Member Employer Reimbursement Policy (Policy) be renewed, without revisions. In the second action item, Legal Staff recommends that the voluntary elected member employer reimbursement be continued for 2016. No action was taken on this item by the Board at the October 15, 2015 Board meeting.

Fiscal Services Staff presented the year-to-date operating expenses – budget vs. actual. As of August 31, 2015 actual expenses are \$1,534,765 under budget.

Fiscal Services Staff presented the Statement of Reserves as of June 30, 2015. Regular earnings at the assumed rate of 3.90% were credited to the valuation reserve accounts, the 401(h) account and the SRBR. Earnings equivalent to 50% above the assumed rate of return (excess earnings) were credited at a rate of 0.7702% to the valuation reserves accounts and the 401(h) account. The remaining 50% of earnings above the assumed rate of return (excess earnings) were credited to the SRBR at a rate of 5.5418%. The total combined interest crediting rate to the valuation reserve accounts and the 401(h) account was 4.6702%. The total combined interest crediting rate to the SRBR was 9.4418%.

In accordance with board policy, \$1.5 million of actuarial earnings were credited to the Contingency Reserve Account (CRA) to adjust the ending balance to 1% of total assets as of June 30, 2015.

The ending balance of the Market Stabilization Reserve was \$111.5 million as of June 30, 2015, after deducting approximately \$131.4 million of gains in the current six month period.

15-138

It was moved by Dale Amaral and seconded by Annette Cain-Darnes that the Board approve the October 7, 2015, Operations Committee minutes, with the exception of adoption of the two voluntary elected member employer reimbursement action items. The motion carried 6 yes (*Amaral, Cain-Darnes, Gamble, Koppenhaver, Rogers, Wood*), 0 no, and 0 abstentions.

NEW BUSINESS:

Kathy Foster, Interim Chief Executive Officer, presented her October 15, 2015 memo requesting the Board's support on two SACRS sponsored legislative proposals and requested that she be given authority to vote on behalf of the ACERA Board at the November 20, 2015 SACRS Business Meeting (2016-2017 California Legislative Session).

The first proposal is regarding District Status for 1937 Act County Employee Retirement Systems, which would amend Government Code (GC) Sections 31468 and 31522.5 and allow 37 Act Counties to elect to become an independent district or to hire key personnel as employees of the retirement system. It was noted that specific language will be written and provided if the recommendation is passed by the SACRS Legislative Committee.

The second proposal is regarding Optional Employee Sworn Statements, which would amend GC §31526. It was noted that members currently provide their date of birth, date of hire, compensation received, etc. in writing to ACERA. This amendment would allow 37 Act Counties to accept electronic data in lieu of written Sworn Statements from every eligible employee.

After a brief discussion regarding the benefits and/or impact to ACERA, the Board directed Staff to obtain the specific/detailed language from the SACRS Legislative Committee on both recommendations for the Board's consideration/approval at the November 12, 2015 Board meeting. The Board also directed Staff to confirm whether or not ACERA has representation on the SACRS Legislative Committee.

Pete Albert, on behalf of ACRE Chapter of SEIU, Local 1021, stated that if the District Status legislation passes and if ACERA decides to implement this option, they would like to see the model where all [ACERA] employees are part of the independent district and where ACERA has the ability to control employee compensation. Jon Orellana, on behalf of REAC, stated that SACRS' position on this issue is that they would like to set up a mechanism to facilitate the process which would allow systems to decide to become an independent district rather than each system obtaining separate legislation.

Kathy Foster, Interim Chief Executive Officer's Oral Report.

Interim CEO Kathy Foster reported on the following items:

- Board Trustees received their Board Off-Site Binders today, which included the 2016 Proposed Budget and presentations from SIS and Callan regarding Liquidity Management and Transparency in Alternative Investments. It was noted that there were no updates to the 2016 Proposed Budget since the October 6, 2015 Budget Committee meeting.
- On October 6, 2015, the Board of Supervisors (BOS) directed the Clerk of the BOS to cast a unanimous ballot in favor of nominated member Elizabeth Rogers for the Second Seat on the ACERA Board of Retirement, commencing January 1, 2016 through December 31, 2018. ACERA will post an announcement on its Website and will also provide the Participating Employers with the announcement regarding Ms. Rogers' nomination.

CONFERENCE REPORTS

Elizabeth Rogers attended the Nossaman Fiduciary Forum on September 25, 2015, along with Interim CEO Kathy Foster, Susan Weiss and Lori Schnall, Associate Counsels. The Forum focused on discussions regarding alternative investments and due diligence documentation, infrastructures, court cases involving the California Public Employees' Pension Reform Act and pension initiatives.

TRUSTEE/PUBLIC INPUT

Pete Albert announced that he attended the REAC Luncheon on October 12, 2015 and congratulated the REAC Officers for a very successful event.

Mrs. Caroline Godfrey, survivor of former ACERA Board Member William Godfrey, addressed the Board and requested that they reconsider their position on amending Government Code §31760.2 regarding Post-Retirement Spousal Continuance, due to the passage of Senate Bill (SB) 670. It was noted that ACERA cannot use monies from the Supplemental Retiree Benefit Reserve (SRBR). After a brief discussion, Trustee Koppenhaver stated that SB 670 is being re-visited and that she will keep Mrs. Godfrey abreast of the status.

ESTABLISHMENT OF THE NEXT MEETING

- Thursday, November 12, 2015, at 2 p.m. *The Board meeting is rescheduled due to the SACRS Fall Conference, November 17-20, 2015).*

ADJOURNMENT INTO CLOSED EXECUTIVE SESSION:

- A) Pursuant to Government Code Sections 54957.1 and 54956.9 to discuss and make recommendations regarding disability applications:

Discussion and possible motion regarding application for Service Connected Disability Retirement for:

- *Helen Martinez*

RECONVENE INTO OPEN SESSION TO REPORT ON ACTION TAKEN IN CLOSED EXECUTIVE SESSION:

The Board reconvened into Open Session and announced the following:

15-139

It was moved by Annette Cain-Darnes and seconded by George Wood that the Board adopt the Hearing Officer's Recommendation to deny Helen Martinez's Service Connected Disability Retirement Application. The motion carried 5 yes (Amaral, Cain-Darnes, Gamble, Rogers, Wood), 0 no, and 0 abstentions.

ADJOURNMENT

The meeting adjourned at 3:15 p.m.

Respectfully Submitted,



Kathy Foster
Interim Chief Executive Officer

11/12/15

Date Adopted

APPENDIX A
APPLICATION FOR SERVICE RETIREMENT

BOSSETT, Jacqueline M.
Effective: 4/1/2015
Alameda Health System

LANCTOT, Lynne M.
Effective: 4/1/2015
Social Services Agency

BURROWS, Brandy
Effective: 4/7/2015
Alameda Health System

LEEDS, Deborah B.
Effective: 8/22/2015
Social Services Agency - DEF

CHICOINE, Edmond B.
Effective: 3/30/2015
Sheriff's Office

LONG, Al R.
Effective: 3/28/2015
Social Services Agency

CONNOLLY, Mark P.
Effective: 7/11/2015
Sheriff's Office

MAXWELL, Susan E.
Effective: 3/28/2015
Superior Court - DEF

COX, Christal B.
Effective: 10/13/2013
Alameda Health System

MC CARTHY, Susan M.
Effective: 4/1/2015
Health Care Services Agency

CUNNIFF, James A.
Effective: 5/30/2015
Social Services Agency

MEREDITH, Karen L.
Effective: 3/28/2015
District Attorney

DALTON, Denise Ann
Effective: 3/14/2015
Superior Court

MURTY, Srinivas S.
Effective: 4/25/2015
Social Services Agency

FLORES, Ada N.
Effective: 3/28/2015
Health Care Services Agency

REDIC, Ullis G.
Effective: 3/28/2015
County Administrator

FRISBEY, Cheryl
Effective: 6/29/2015
Alameda Health System

SERRANO, Loreta M.
Effective: 3/28/2015
Social Services Agency

HODSON, Christine Ann
Effective: 3/28/2015
Superior Court

SORINIO, Narcisco S.
Effective: 3/28/2015
Public Works Agency

JENKINS, Gloria N.
Effective: 1/3/2015
Alameda Health System

STARK, Mary C.
Effective: 4/1/2015
Assessor

APPENDIX A
APPLICATION FOR SERVICE RETIREMENT

SUTTON, Keith J.
Effective: 3/28/2015
County Administrator

THOMAS, Polly D.
Effective: 6/6/2015
Social Services Agency

TODA, Kathleen G.
Effective: 5/31/2015
Alameda Health System

WILLIAMS, Steven A.
Effective: 3/28/2015
Alameda Health System

WOLDERUFAEL, Negga
Effective: 3/28/2015
Alameda Health System

APPENDIX B
APPLICATION FOR DEFERRED RETIREMENT

FREEMAN, Michelle N.
Social Services Agency
Effective: 8/14/2015

GARIBAY, Rosa A.
Social Services Agency
Effective: 8/13/2015

KAZIBWE, Seini K.
Social Services Agency
Effective: 8/14/2015

WILLIAMS, Rosa L.
Social Services Agency
Effective: 8/4/2015

APPENDIX B-1
APPLICATION FOR NON-VESTED DEFERRED

PAKBAZ, Leyla
Alameda Health System
Effective: 4/7/2015

APPENDIX C
APPLICATION FOR DEFERRED TRANSFER

TOUGERON, Christopher C.
Health Care Services Agency
Effective: 6/12/2015
Transferred to: Contra Costa County Employees' Retirement Association

APPENDIX D
LIST OF DECEASED MEMBERS

ABRAMS, Dorothy M.
8/4/2015
Survivor of Dave Abrams, Jr.

ELLIS, Rosalie M.
8/3/2015
Survivor of Robert E. Ellis

AGOSTINI, Fern L.
9/18/2015
Alameda Health System

EVERETT, Richard B.
7/17/2015
Social Services Agency

AUSTIN, Doris L.
8/23/2015
Information Technology Department

FLEMINGS, Christyne
8/25/2015
Survivor of Ornett T. Flemings

BALLAS, Beverlye J.
8/25/2015
District Attorney

FONG, Robert W.
8/23/2015
Probation

BLACKWELL, Verlin D.
9/7/2015
Sheriff's Office

FORBES, Glenn
9/4/2015
District Attorney

BOWMAN, Robert D.
9/7/2015
Public Works Agency

FREDERICKSON, Cora H.
8/26/2015
Survivor of Wayne Frederickson

BREWER, Versie L
8/29/2015
Survivor of Legester Brewer

GREEN, Stanley S.
8/11/2015
Alameda Health System

CASTORIS, Bessie K.
8/25/2015
Survivor of Steve Castoris

JENKINS, Patricia A.
9/2/2015
Social Services Agency

DAVIS, Roy Dean
9/23/2015
Superior Court

KENNISON, Sharon A.
9/6/2015
Alameda Health System

DIOLAZO, Andres D.
8/27/2015
Treasurer-Tax Collector

KOMIYA, Mary K.
9/5/2015
Assessor

ELLER, William E.
8/2/2015
District Attorney

LUNN, Dolores R.
9/12/2015
Alameda Health System

APPENDIX D
LIST OF DECEASED MEMBERS

ROYCE, Ora B.
9/9/2015
Public Defender

SPANN, Richard J.
9/26/2015
Alameda Health System

WELLS, Richard B.
8/25/2015
Survivor of Eva Wells

WYSOCK, David B.
9/23/2015
Sheriff's Office

APPENDIX F
APPLICATION FOR DISABILITY RETIREMENT

Name: Allen, Monifa
Type of Claim: Non-Service Connected

Staff's Recommendation:

Approve the recommendation contained in Dr. Wagner's report to grant Ms. Allen a non-service connected disability and to require future annual medical examinations and questionnaires.

Name: Bivins, Brenda
Type of Claim: Annual Review for NSCD (Granted on 9/18/2014)

Staff's Recommendation:

Approve the recommendation contained in Dr. Wagner's report to continue the allowance for non-service connected disability and to not require future annual medical examinations and questionnaires at this time.

Name: Castillon, Irma
Type of Claim: Non-Service Connected

Staff's Recommendation:

Approve the recommendation contained in Dr. Wagner's report to grant Ms. Castillon a non-service connected disability. Since Ms. Castillon is over 55 years old, future annual medical examinations will not be required.

APPENDIX F
APPLICATION FOR DISABILITY RETIREMENT

Name: Emery, Karen
Type of Claim: Non-Service Connected

Staff's Recommendation:

Approve the recommendation contained in Dr. Wagner's report to grant Ms. Emery a non-service connected disability and to require future annual medical examinations and questionnaires.

Name: Garlington, Steven
Type of Claim: Service Connected

Staff's Recommendation:

Approve the recommendation contained in Dr. Wagner's report to grant Mr. Garlington a service connected disability. Since Mr. Garlington is over 55 years old, future annual medical examinations will not be required.

Name: Jackson Frazier, Karen
Type of Claim: Service Connected

Staff's Recommendation:

Approve the recommendation contained in Dr. Wagner's report to grant Ms. Jackson Frazier a service connected disability. Since Ms. Jackson Frazier is over 55 years old, future annual medical examinations will not be required.

Based on Dr. Wagner's and Staff's review and determination of Ms. Jackson Frazier's ability to determine the permanency of her incapacity, to deny Ms. Jackson Frazier's request for an earlier effective date.

APPENDIX F
APPLICATION FOR DISABILITY RETIREMENT

Name: Lopez, Sandra
Type of Claim: Annual Review for SCD (Granted on 9/18/2014)

Staff's Recommendation:

Approve the recommendation contained in Dr. Wagner's report to continue the allowance for service connected disability and to not require future annual medical examinations and questionnaires at this time.

Name: Smith-Payne, Brenda
Type of Claim: Service Connected

Staff's Recommendation:

Approve the recommendation contained in Dr. Wagner's report to grant Ms. Smith-Payne a service connected disability. Since Ms. Smith-Payne is over 55 years old, future annual medical examinations will not be required.

Based on Dr. Wagner's and Staff's review and determination of Ms. Smith-Payne's ability to determine the permanency of her incapacity, to deny Ms. Smith-Payne's request for an earlier effective date.
